

# Personalizing the treatment of women with early breast cancer: highlights of the St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2013

A. Goldhirsch<sup>1\*</sup>, E. P. Winer<sup>2</sup>, A. S. Coates<sup>3</sup>, R. D. Gelber<sup>4</sup>, M. Piccart-Gebhart<sup>5</sup>, B. Thürlimann<sup>6</sup> & H.-J. Senn<sup>7</sup> Panel members<sup>†</sup>

<sup>1</sup>International Breast Cancer Study Group, Division of Medical Oncology, European Institute of Oncology, Milan, Italy; <sup>2</sup>Department of Medical Oncology, Dana-Farber Cancer Institute, Harvard Medical School, Boston, USA; <sup>3</sup>International Breast Cancer Study Group and University of Sydney, Sydney, Australia; <sup>4</sup>International Breast Cancer Study Group Statistical Center, Department of Biostatistics and Computational Biology, Dana-Farber Cancer Institute, Harvard Medical School, Boston, USA; <sup>5</sup>Internal Medicine/Oncology, Institut Jules Bordet, Brussels, Belgium; <sup>6</sup>Breast Center, Kantonsspital St Gallen, St Gallen; <sup>7</sup>Tumor and Breast Center ZeTuP, St Gallen, Switzerland

Received 28 May 2013; revised 1 July 2013; accepted 2 July 2013

The 13th St Gallen International Breast Cancer Conference (2013) Expert Panel reviewed and endorsed substantial new evidence on aspects of the local and regional therapies for early breast cancer, supporting less extensive surgery to the axilla and shorter durations of radiation therapy. It refined its earlier approach to the classification and management of luminal disease in the absence of amplification or overexpression of the Human Epidermal growth factor Receptor 2 (HER2) oncogene, while retaining essentially unchanged recommendations for the systemic adjuvant therapy of HER2-positive and 'triple-negative' disease. The Panel again accepted that conventional clinico-pathological factors provided a surrogate subtype classification, while noting that in those areas of the world where multi-gene molecular assays are readily available many clinicians prefer to base chemotherapy decisions for patients with luminal disease on these genomic results rather than the surrogate subtype definitions. Several multi-gene molecular assays were recognized as providing accurate and reproducible prognostic information, and in some cases prediction of response to chemotherapy. Cost and availability preclude their application in many environments at the present time. Broad treatment recommendations are presented. Such recommendations do not imply that each Panel member agrees: indeed, among more than 100 questions, only one (trastuzumab duration) commanded 100% agreement. The various recommendations in fact carried differing degrees of support, as reflected in the nuanced wording of the text below and in the votes recorded in supplementary Appendix S1, available at *Annals of Oncology* online. Detailed decisions on treatment will as always involve clinical consideration of disease extent, host factors, patient preferences and social and economic constraints.

**Key words:** surgery, radiation therapy, systemic adjuvant therapies, early breast cancer, St Gallen Consensus, subtypes

## introduction

The 2 years since the 2011 St Gallen Consensus [1] have seen substantial progress in evidence relevant to various aspects of the treatment of early invasive breast cancer. The genomic atlas of the disease [2] has emphasized its heterogeneity, and suggested that genomic studies may potentially inform treatment decisions such as the use of aromatase inhibitors

[3, 4]. Further data became available reducing the necessity for axillary dissection [5, 6]. Studies presented at the 2012 ESMO meeting clarified the optimal duration of adjuvant trastuzumab in HER2-positive disease [7, 8]. The duration of adjuvant tamoxifen was addressed by the ATLAS study, which suggested a significant benefit for extending such treatment to 10 years rather than 5 years [9].

## St Gallen 2013: news and progress

The 13th International Breast Cancer Conference held in St Gallen in March 2013 involved some 3700 participants from 95 countries and heard presentations from a faculty widely representative of disciplines and geographical areas. An Expert

\*Correspondence to: Prof. A. Goldhirsch, Division of Medical Oncology, European Institute of Oncology, International Breast Cancer Study Group, Via Ripamonti 435, 20141 Milan, Italy. Tel: +39-02-57489439; Fax: +39-02-94379273; E-mail: aron.goldhirsch@ibcs.org

<sup>†</sup>See Appendix 1 for members of the Panel.

Panel, which included 51 members from 21 countries, chaired by Aron Goldhirsch and Eric P. Winer met at the conclusion of the conference to review the new information presented and consider treatment recommendations for broad application over the next 2 years. As in the past, this conference included an explicit approach to management of conflicts of interest (see Appendix 2).

Table 1 summarizes the information presented during the conference.

Recent research in local therapy supports the continued trend towards less extensive procedures. Thus, axillary dissection can safely be omitted for patients with micrometastatic disease in sentinel nodes [65] and for those undergoing breast-conserving surgery and whole breast radiation therapy with up to two macroscopically positive sentinel nodes [66] (Table 1).

Two large studies [68, 69] support the safety and efficacy of shorter courses of whole breast radiation therapy (40 Gy in 15 or 42.5 Gy in 16 fractions), which offer advantages of convenience and cost over the previous standard of 50 Gy in 25 fractions.

New information became available for several aspects of systemic adjuvant therapy. The ATLAS trial reported superiority for 10 years compared with 5 years of adjuvant tamoxifen [9]. Further follow-up of the extended adjuvant study (MA.17) suggested particular benefit of letrozole for patients who were premenopausal at diagnosis but became postmenopausal by the time of letrozole administration [86].

The optimal duration of trastuzumab therapy in HER2-positive disease was clarified by results from two trials. The HERA trial [7] showed no additional benefit of 2 years trastuzumab compared with 1 year, while the PHARE trial [8] failed to show non-inferiority of 6 months trastuzumab compared with 1 year. Thus, the *de facto* standard of care remains 1 year of trastuzumab in patients with HER2-positive disease.

The Early Breast Cancer Trialists' Collaborative Group (EBCTCG) meta-analysis of trials of chemotherapy versus no chemotherapy [18] failed to define any group for which chemotherapy did not offer an advantage. This conclusion is at odds with the results of individual trials and prospective/retrospective analyses of trials with assays such as the 21-gene recurrence score (RS). Furthermore, the control groups of trials included in the EBCTCG overview appear to exhibit much higher degrees of risk than that of patients with luminal disease seen in today's practice who receive modern endocrine therapy as the backbone for their treatment. The EBCTCG report noted that 'information was lacking about tumour gene-expression markers or quantitative immunohistochemistry that might help to predict risk, chemosensitivity, or both' [18]. Subsequent editorial comments [93, 94] drew differing interpretations of the EBCTCG conclusions.

## breast cancer subtypes

The clinico-pathological surrogate definitions of subtypes as adopted by the Panel are summarized in Table 2, and their broad implications for systemic treatment selection are described in Table 3.

Further evidence has accrued in the last 2 years to support the use of multi-gene signatures to make distinctions among patients with luminal disease. Many different multi-gene assays provide prognostic information, primarily derived from their sampling of proliferation genes [97], which emphasizes the need for some measure of proliferation in any surrogate classification.

The 21-gene RS is accepted as providing not only prognostic, but also predictive information regarding the utility of cytotoxic therapy in addition to endocrine therapy for patients with luminal disease. This and perhaps other multi-gene assays can help define a group of patients for whom chemotherapy is futile because the biological nature of the tumour is such that it is substantially unresponsive to such agents. Existing studies of the 21-gene RS involve retrospective analysis of previously conducted randomized clinical trials [75, 76], which included both HER2-positive and HER2-negative cohorts. A recent report demonstrated excellent 5-year outcome without chemotherapy for a 'good prognosis' 70-gene signature cohort [49].

In those areas of the world where multi-gene assays are readily available, clinical practice has developed to rely on the results to guide decisions about inclusion of chemotherapy in the treatment of patients with ER-positive, HER2-negative disease. The 70-gene assay returns a dichotomous result, while 21-gene RS is continuous. An unresolved question is the level of RS which should justify cytotoxic therapy: only high RS values (>31) were significantly associated with chemotherapy benefit in the prospective/retrospective studies [75, 76], while substantially lower values are being investigated in ongoing prospective trials and are being used in clinical practice. For many societies, the cost of these multi-gene assays remains prohibitive.

The possibility that multi-gene expression assays may become more widely available was discussed by some Panellists after the meeting during the preparation of this manuscript. Cost-effectiveness studies have been carried out in the United States [98, 99], Canada [100–104], Israel [105], the UK [106] and Germany [107, 108]. These studies have yielded varying estimates ranging from cost-saving to an incremental cost-effectiveness ratio (ICER) of ~US \$60 000 per quality-adjusted life year (QALY). One Japanese study of the 70-gene assay [109] found an ICER of US \$40 000 per QALY. Such assessments will be sensitive not only to the cost of the test, but to the net proportion of patients in whom testing leads to the omission of cytotoxic therapy, and to the cost of the cytotoxic regimen which would otherwise have been given. These reports have largely worked from the perspective of the health care system or third-party payer, and thus offer hope that such bodies may increasingly support multi-gene testing. It has recently been reported that the UK National Institute for Clinical Excellence, having reached a confidential pricing arrangement with the supplier, has issued a draft recommendation that the 21-gene RS be used for women with node-negative disease for whom the indication for chemotherapy is otherwise uncertain<sup>1</sup>. Meanwhile, in many settings patients can only access multi-gene testing by large personal out-of-pocket payments, and therefore, from a global perspective for the immediate future

<sup>1</sup><http://guidance.nice.org.uk/DT/4> accessed 3 May 2013

**Table 1.** Recent research findings presented at the 13th International Conference on Primary Therapy of Early Breast Cancer and their implications for patient care

Field or treatment	Status of research/implications for patient care
Targeted treatments	<p>Proof of concept of mTOR pathway inhibition in metastatic disease was provided by the Bolero study [10]. The PI3K-alpha inhibitors, such as GDC-0032, have shown strong interaction with ER signalling in laboratory studies. Another PI3K-alpha inhibitor, BYL719, showed preclinical evidence of synergy with fulvestrant. The strong preclinical evidence for favourable interaction between endocrine therapy and various inhibitors of the PI3 kinase pathway (AKT inhibitors or MEK inhibitors) points to the need for clinical trials of such combinations [11]. In triple-negative breast cancer, PI3K inhibition impairs BRCA1/2 expression, thus sensitizing cells to PARP inhibition [12, 13].</p> <p>A frequently mutated gene is <i>TP53</i>, which is abnormal in the majority of cases of HER2 overexpressing and triple-negative disease [2, 14]. Although, p53 has been studied for decades, its clinical utility remains limited due to the absence of standardization and the heterogeneity of the studies. Wild-type p53 activity impairs the preclinical response to anthracyclines [15], and there is an interaction with ER such that ER prevents p53-dependent apoptosis [16]. However, p53 was not predictive of preferential sensitivity to an anthracycline-based versus a taxane-based chemotherapy in a large phase III neoadjuvant study [17].</p>
Messages from the EBCTCG Overview	The Early Breast Cancer Trialists' Collaborative Group (EBCTCG) meta-analysis showed efficacy of adjuvant chemotherapy compared with no chemotherapy, superiority of anthracycline-based regimens over CMF and of taxane-containing regimens over those based on anthracycline. The relative magnitude of benefit from anthracycline or anthracycline-taxane combinations resulted in similar reductions of breast cancer mortality irrespective of age, stage, histopathological grade and ER status, although the absolute gain for a low disease burden Luminal A-type of cancer will be very small [18, 19].
Mutational analysis of breast cancer	Detailed analysis of the entire genome of breast cancers offers the potential for more precisely personalizing therapy [20]. Application is currently limited by the availability of suitably targeted therapeutic agents and by limited understanding of the roles and functions of many of the identified abnormalities, and clarification of which genomic alterations are functional and which are merely passenger mutations.
Personalizing treatment	Analytic validity, clinical (or biologic) validity, and clinical utility are all required for optimal clinical application of tumour biomarkers [21].
Intrinsic subtypes	Identification of intrinsic subtypes is most precise using molecular technologies [22]. Where such assays are unavailable, surrogate definitions of subtype can be obtained by IHC measurements of ER, PgR, Ki-67 and HER2 with <i>in situ</i> hybridization confirmation, where appropriate [23]. Moderate or strong expression of PgR has been proposed as an additional restriction in the surrogate definition of 'Luminal A-like' disease [24]. Ki-67 level as a marker of proliferation is also important for this distinction [23]. Both of these markers require quality control. In particular, Ki-67 measurement is not currently standardized among laboratories [25–27] (see panel deliberations below).
Lifestyle issues	Epidemiological evidence suggests that a 'Mediterranean' diet is associated with a modest reduction in the risk of the occurrence of breast cancer [28]. Several recent meta-analyses have confirmed the association of physical activity with reduced breast cancer incidence and improved prognosis [29].
Hormonal influences	Sex hormones, particularly estrogens, are recognized as important in defining the risk of occurrence of breast cancer, and may be important in particular treatment situations, such as the use of aromatase inhibitors. However, analytical issues still limit the measurement of estrogen at low but clinically relevant levels [30, 31].
Hereditary breast cancer	Factors to be considered regarding recommendation for genetic testing include known mutation in the family, patient or close relative with breast cancer diagnosis <35, patient or close relatives with ovarian or fallopian tube cancers, multiple pancreatic cancers, and some pathological features. However, intrinsic subtype cannot safely be used to exclude the need for genetic testing [32].
Obesity and fat	<p>Obesity is widely recognized as a risk factor for both occurrence and worsened prognosis of breast cancer [33]. Obesity is not clearly predictive of AI (versus Tam) benefit in postmenopausal women [34–36], but may be predictive of reduced AI benefit in premenopausal women [37].</p> <p>Evidence exists that adipose tissue contains pluripotent stem cells, which might be responsible for tumour angiogenesis [38, 39]. Such cells have been shown to promote breast cancer growth in preclinical models [40], raising the hypothetical concern that use of adipose tissue in breast reconstruction might increase the risk of recurrence.</p>
Metastasis, microenvironment, bone and bisphosphonates	<p>Metastasis is a complex event governed by host interactions. Characteristics of the microenvironment are important in the metastatic process. In preclinical models, tenascin C promotes the aggressiveness of metastasis [41] and autocrine tenascin C is required for early colonization [42].</p> <p>Bisphosphonates may have beneficial effects in estrogen-deprived women [43–45]. This benefit, which remains uncertain, does not appear to be limited to the inhibition of bone metastases.</p>
Metronomic chemotherapy	Metronomic chemotherapy demonstrates activity in the neoadjuvant setting [46] and in preclinical studies is effective in combination with anti-angiogenic treatments [47].

Continued

Table 1. Continued

Field or treatment	Status of research/implications for patient care
Risk assessment and prediction	<p>Use of genomic prognostic tests is increasing and has led to altered treatment recommendations in 25%–30% of cases. This has been associated with an overall decreased use of adjuvant chemotherapy [48]. One prospective non-randomized cohort study confirmed the prognostic value of the 70-gene signature in terms of 5-year distant recurrence free interval, and noted an excellent outcome for patients classified as ‘low risk’ and treated without cytotoxic therapy [49]. ER and proliferation define cohorts of patients with early and late recurrence risks. It is noteworthy that first-generation tests are largely calibrated on early recurrence, while the risk of recurrence in luminal disease persists for many years and may be better addressed by newer assays [50–53].</p> <p>Following neoadjuvant chemotherapy, assessment of residual tumour burden appears prognostically useful, but validation and standardization of this as a prognostic marker is just as important as for IHC or molecular assays [54]. Residual disease after neoadjuvant chemotherapy appears less important in patients with Luminal A or hormone receptor positive, HER2-positive disease, but pCR seems to be prognostically highly important for non-luminal HER2-positive and triple-negative disease [55].</p> <p>Following conventional adjuvant therapy, definition of residual risk may guide the need for further treatment or clinical trials. While baseline tumour staging and conventional biological parameters are important, further information may be obtained from evaluations including genomic signatures and tumour infiltrating lymphocytes [56–58].</p>
Immunity and vaccines	<p>Therapeutic vaccination remains elusive because of tumour heterogeneity and immune escape mechanisms. Agents, such as ipilimumab, which suppress regulatory T cells, may tip the immune balance to cause tumour regression [59]. The presence of tumour-associated lymphocytes in breast cancer is a new independent predictor of response to anthracycline/taxane neoadjuvant chemotherapy [60]. In node-positive, ER-negative, HER2-negative breast cancer, increasing lymphocytic infiltration is associated with an excellent prognosis [56].</p>
Surgery of the primary	<p>Although the risk of local regional relapse is related to the biological aggressiveness of the disease as reflected in its intrinsic subtype, there is no evidence that more extensive surgery will overcome this risk [61]. Effective systemic therapy decreases loco-regional recurrence [62]. Not all women prefer breast conservation. For those who do, the only absolute contraindications are positive margins after multiple resections, and the inability to deliver indicated radiotherapy [63, 64].</p>
Surgery of the axilla	<p>Substantial new data were presented about the role of and necessity for completion axillary dissection after positive sentinel nodes for patients with clinically node-negative disease. The IBCSG 23-01 trial found no benefit of axillary dissection in patients with micrometastatic disease in one or more sentinel lymph nodes [65]. There was increasing acceptance of the omission of axillary dissection also in patients similar to those included in the ACOSOG Z0011 trial that is with one or two involved nodes following breast conservation surgery with whole breast radiation therapy [66]. Ongoing studies are examining the omission of even sentinel node biopsy in patients with ultrasound negative axilla, but this practice remains experimental [67].</p>
Radiation therapy	<p>Clinical trial evidence supports the validity of hypofractionated radiotherapy such as 40 Gy in 15 or 42.5 Gy in 16 fractions in many patients [68–70]. Such short course whole breast radiation therapy has obvious advantages in terms of patient convenience and cost.</p> <p>Trials have demonstrated the safety and efficacy of some forms of partial breast irradiation in selected patients. The main questions are around the definition of a suitable group and variability of levels of evidence between several available intraoperative and postoperative partial breast techniques [71]. ASTRO [72] and ESTRO [73] provide similar guidelines based on factors such as age, BRCA 1/2, tumour size, margins, ER status, focality, histology, nodal factors, and neoadjuvant therapy. A number of large randomised clinical trials of partial breast irradiation await formal reporting and publication of mature outcome data. Partial breast re-irradiation following ‘in breast tumour recurrence’ can be considered as an alternative to salvage mastectomy in selected cases, although the long-term safety and efficacy of this approach have not been established [74].</p>
Adjuvant chemotherapy	<p>A major unresolved question is the threshold for use of adjuvant cytotoxic chemotherapy for patients with Luminal A or Luminal B disease. In prospective/retrospective studies, the 21-gene recurrence score (RS) identifies groups who do not benefit from the addition of chemotherapy in node-negative [75] or node-positive [76] disease. In both these studies based on randomised trials, chemotherapy benefit was confined to the group with high 21-gene RS. Another series using the 70-gene signature noted excellent 5-year distant recurrence free interval for the ‘good prognosis’ group without chemotherapy [49]. PAM50 classification showed no benefit of anthracycline-based chemotherapy (CEF) compared with CMF chemotherapy in patients with either Luminal A or Luminal B disease [77].</p> <p>For patients with triple-negative disease, optimal chemotherapy regimens have not been defined, but evidence supports the inclusion of anthracyclines and taxanes, but not bevacizumab, platinum, capecitabine, or gemcitabine [78].</p> <p>No standard duration of adjuvant chemotherapy has yet been identified for patients with endocrine non-responsive disease [79].</p>

Continued

Table 1. Continued

Field or treatment	Status of research/implications for patient care
Neoadjuvant chemotherapy	Because the goals of treatment are the same in terms of ultimate systemic control, the selection of regimen for neoadjuvant chemotherapy generally follows guidelines similar to those applying to the conventional adjuvant setting [80]. A risk of recurrence (ROR) score based on PAM50 showed that there were no or very few pathological complete responses to neoadjuvant chemotherapy among patients with low ROR [22]. Other neoadjuvant studies have found that a 70-gene good prognosis signature and a low 21-gene RS predict low probability of pCR [81, 82].
HER2-targeted therapy	Clinical trial results support a standard duration of adjuvant trastuzumab of one year rather than longer [7] or shorter [8] [83].
Endocrine therapy	For premenopausal women with hormone receptor positive breast cancer, the standard endocrine therapy is based on tamoxifen. Unresolved questions include the necessity for combining ovarian function suppression with tamoxifen, and the possible substitution of an aromatase inhibitor in combination with ovarian function suppression. The SOFT and TEXT trials addressing these questions will report results in the near future [46, 84]. Recent evidence from the ATLAS trial suggests that durations of tamoxifen >5 years may be appropriate [9]. In postmenopausal women with endocrine responsive disease, letrozole therapy administered after 5 years of tamoxifen was established via the MA.17 study [85]. Recent analyses of this trial suggest that the benefit of letrozole might be even greater for patients who were premenopausal at the time of initial diagnosis but postmenopausal following completion of five years of tamoxifen [86]. Adverse effects of aromatase inhibitors limit their use in a substantial proportion of women, and particular concern may exist for those with pre-existing ischaemic cardiovascular disease [87, 88].
Young women	Women under 40 years of age have relatively higher incidence of triple-negative and HER2-positive disease [89]. One study suggests that very young women, aged $\leq 35$ , with triple-negative disease may have particularly high likelihood of achieving pCR with neoadjuvant chemotherapy [90]. Social issues such as fertility, sexual functioning, and the care of young children may be particularly important for younger women [91].
Follow-up of survivors	A number of studies have failed to show benefit from more, compared with less intensive follow-up investigations. In at least some health care delivery settings, follow-up conducted by oncology nurses is feasible and might be a reasonable alternative to specialist clinical surveillance [92].

multi-gene testing remains inaccessible for the majority of women with early breast cancer. It is for these women that the Panel believed that the approach adopted by successive St Gallen Panels based on the available clinico-pathological testing, and now expressed in the surrogate IHC-based classification shown in Table 2 will be more widely applicable at lesser cost, notwithstanding its limited validation.

The main reason for attempting distinction between 'Luminal A-like' (more endocrine sensitive, indolent, better prognosis) and 'Luminal B-like' (less endocrine sensitive, more aggressive, worse prognosis) tumours was recognized to be the differing implications for the utility or futility of adjuvant cytotoxic therapy between these groups. Evidence was presented that the clarity of distinction between 'Luminal A-like' and 'Luminal B-like' tumours could be improved by the requirement for substantial PgR positivity in the definition of 'Luminal A-like' disease [24]. Adding this restriction will have the effect of reducing the number of patients classified as 'Luminal A-like' and thus increasing the number for whom cytotoxic therapy is generally recommended. Recognizing that high-quality pathology and quality assurance programmes are important for the interpretation of these tests, it was noted that the absolute values of each IHC parameter/cut-point may vary between laboratories, and that pending improved standardization local experience might best define the locally useful cut-points between 'high' and 'low' Ki-67 and PgR.

## panel deliberations

The Panel reviewed a series of questions developed by iterative consultation over the months preceding the conference. Voting on most questions was in the format yes, no or abstain, where abstaining was recommended if the Panel member felt a conflict of interest in the question, that there was insufficient evidence to support an opinion either way or that he or she lacked the relevant expertise. Detailed voting records for each of the questions put to the Panel are provided in the supplementary Appendix S1, available at *Annals of Oncology* online.

## surgery of the primary

The Panel found very few absolute contraindications to breast-conserving therapy. Margins involved with invasive carcinoma or DCIS after repeated resection were one such absolute contraindication. The minimal acceptable surgical margin was felt to be 'no ink on invasive tumour' (i.e. margins free of tumour) by nearly three quarters of the Panellists and most of the others would accept a minimum clearance of 1 mm. The Panel was almost unanimous that breast-conserving surgery should not be carried out unless postoperative radiation (if indicated, as described below in the radiation therapy section) could be delivered.

A majority of the Panel considered that relative but not absolute contraindications to breast-conserving therapy included very young age (<35 years); extensive or diffuse

**Table 2.** Surrogate definitions of intrinsic subtypes of breast cancer

Intrinsic subtype	Clinico-pathologic surrogate definition	Notes
Luminal A	<p><b>'Luminal A-like'</b></p> <p><i>all of:</i></p> <p>ER and PgR positive</p> <p>HER2 negative</p> <p>Ki-67 'low'<sup>a</sup></p> <p>Recurrence risk 'low' based on multi-gene-expression assay (if available)<sup>b</sup></p>	The cut-point between 'high' and 'low' values for Ki-67 varies between laboratories. <sup>a</sup> A level of <14% best correlated with the gene-expression definition of Luminal A based on the results in a single reference laboratory [23]. Similarly, the added value of PgR in distinguishing between 'Luminal A-like' and 'Luminal B-like' subtypes derives from the work of Prat et al. which used a PgR cut-point of $\geq 20\%$ to best correspond to Luminal A subtype [24]. Quality assurance programmes are essential for laboratories reporting these results.
Luminal B	<p><b>'Luminal B-like (HER2 negative)'</b></p> <p>ER positive</p> <p>HER2 negative</p> <p>and <i>at least one of:</i></p> <p>Ki-67 'high'</p> <p>PgR 'negative or low'</p> <p>Recurrence risk 'high' based on multi-gene-expression assay (if available)<sup>b</sup></p> <p><b>'Luminal B-like (HER2 positive)'</b></p> <p>ER positive</p> <p>HER2 over-expressed or amplified</p> <p>Any Ki-67</p> <p>Any PgR</p>	'Luminal B-like' disease comprises those luminal cases which lack the characteristics noted above for 'Luminal A-like' disease. Thus, either a high Ki-67 <sup>a</sup> value or a low PgR value (see above) may be used to distinguish between 'Luminal A-like' and 'Luminal B-like (HER2 negative)'.
Erb-B2 overexpression	<p><b>'HER2 positive (non-luminal)'</b></p> <p>HER2 over-expressed or amplified</p> <p>ER and PgR absent</p>	
'Basal-like'	<p><b>'Triple negative (ductal)'</b></p> <p>ER and PgR absent</p> <p>HER2 negative</p>	There is an 80% overlap between 'triple-negative' and intrinsic 'basal-like' subtype. Some cases with low-positive ER staining may cluster with non-luminal subtypes on gene-expression analysis. 'Triple negative' also includes some special histological types such as adenoid cystic carcinoma.

<sup>a</sup>A majority of the Panel voted that a threshold of  $\geq 20\%$  was indicative of 'high' Ki-67 status. Others, concerned about the high degree of inter-laboratory variation in Ki-67 measurement [26] and the possibility for undertreatment of patients with luminal disease who might benefit from chemotherapy, would use a lower (local laboratory specific) cut-point to define Ki-67 'high' or use multi-gene-expression assay results, if available.

<sup>b</sup>This factor was added during Panel deliberations after circulation of the first draft of the manuscript, to reflect a strong minority view. Although neither the 21-gene RS nor the 70-gene signature was designed to define intrinsic subtypes, a concordance study noted that over 90% of cases with a low RS and almost 80% of those with a 70-gene low-risk signature were classified as Luminal A [95].

microcalcifications where the presence of malignancy cannot be reliably excluded without complete excision; multicentric disease; tumour location near the nipple and mutations of the *BRCA1* or *BRCA2* genes. Substantial minority support added multifocal disease, extensive vascular invasion and an extensive intraductal component to this list of relative contraindications. Positive family history and unfavourable biology based on genomic profiling were not considered to be contraindications to breast-conserving therapy.

Nipple-sparing surgery was considered acceptable, provided the margin close to the nipple was not involved. The vast majority of Panel members thought magnetic resonance imaging should not be routinely used in the assessment of newly diagnosed breast cancer.

### surgery of the axilla

The Panel believed that axillary dissection could be safely omitted in patients with one or two positive sentinel nodes

following breast-conserving surgery when whole breast radiation therapy is planned. The Panel was nearly equally divided whether this recommendation also applied to mastectomy followed by radiotherapy, but was almost unanimous in the need for axillary dissection if no radiotherapy was planned.

The Panel also considered that axillary dissection was required with three or more involved sentinel nodes or if nodes were clinically involved before surgery and confirmed by biopsy.

### radiation therapy

The Panel strongly agreed that 'short course' radiotherapy, such as 40 Gy in 15 or 42.5 Gy in 16 fractions, could be offered as a standard for at least some patients, with a slim majority thinking that this would be suitable for almost all patients. The Panel agreed that short course radiotherapy was an option whether or not a boost to the tumour bed was planned. A large majority of Panel members thought that there were definable

**Table 3.** Systemic treatment recommendations

‘Subtype’	Type of therapy	Notes on therapy
‘Luminal A-like’	Endocrine therapy is the most critical intervention and is often used alone.	Cytotoxics may be added in selected patients. Relative indications for the addition of cytotoxics accepted by a majority of the Panel included: (i) high 21-gene RS (i.e. >25), if available; (ii) 70-gene high risk status, if available; (iii) grade 3 disease; (iv) involvement of four or more lymph nodes (a minority required only one node). The Panel was almost equally divided as to whether young age (<35 years) <i>per se</i> was an indication to add cytotoxics. Studies suggest a wide geographical divergence in the threshold indications for the inclusion of cytotoxics for the treatment of patients with luminal disease [96].
‘Luminal B-like (HER2 negative)’	Endocrine therapy for all patients, cytotoxic therapy for most.	
‘Luminal B-like (HER2 positive)’	Cytotoxics + anti-HER2 + endocrine therapy	No data are available to support the omission of cytotoxics in this group.
‘HER2 positive (non-luminal)’	Cytotoxics + anti-HER2	Threshold for use of anti-HER2 therapy was defined as pT1b or larger tumour or node-positivity.
‘Triple negative (ductal)’	Cytotoxics	
<b>‘Special histological types’<sup>a</sup></b>		
A. Endocrine responsive	Endocrine therapy	
B. Endocrine non-responsive	Cytotoxics	Adenoid cystic carcinomas may not require any adjuvant cytotoxics (if node negative).

<sup>a</sup>Special histological types: endocrine responsive (cribriform, tubular and mucinous); endocrine non-responsive (apocrine, medullary, adenoid cystic and metaplastic).

groups of patients not requiring radiotherapy following breast-conserving surgery, and that these might include the elderly and those with substantial comorbidity. The Panel could not reach a majority view regarding the current acceptability of the various techniques for partial breast radiation as definitive treatment.

Post-mastectomy radiotherapy was considered indicated by almost all Panel members for patients with four or more positive nodes, while the majority would not advise post-mastectomy irradiation for those with one to three positive nodes, except in the presence of adverse tumour pathology. The Panel was content to omit post-mastectomy radiotherapy with pathologic uninvolved nodes even when fewer than eight nodes had been examined and if the tumour was  $\leq 5$  cm. Two-thirds felt that radiation therapy should be given after mastectomy if positive sentinel nodes were not followed by axillary dissection.

Other indications recommended by the Panel for post-mastectomy radiotherapy included positive deep margins and, for two-thirds of the Panel, tumours greater than 5 cm regardless of the nodal status. However, the Panel strongly rejected needing radiotherapy solely based on Grade 3, lymphovascular invasion, HER2-positive status or triple-negative disease.

Areas to be irradiated following mastectomy and axillary dissection should not be influenced by any neoadjuvant systemic therapy or by the intrinsic subtype of the tumour.

There was no clear agreement about the necessity to include the supraclavicular fossa, though trials have routinely included this area. Most Panel members would not include the internal mammary nodes and a strong majority felt that the axilla should not be radiated after dissection.

### pathology

The Panel recognized substantial progress in the pathological characterization of tumour subtypes. There was little change in the classification of HER2-positive or triple-negative disease. The majority of the Panel accepted that a useful surrogate definition of Luminal A-like as distinct from Luminal B-like disease could be made using a combination of ER, PgR and Ki-67, without requiring molecular diagnostics. Ki-67 has been used for more than two decades as a prognostic marker in early breast cancer [110–118]. The Panel did not accept that distinction between Luminal A-like and Luminal B-like tumours could be made with ER and PgR alone, and a clear majority voted that grade 3 could not be used as a substitute for high Ki-67 for this purpose. The Panel noted that standardized cut-offs for Ki-67 have not been established and laboratory specific values should be used, but the majority of the Panel voted that a threshold of  $\geq 20\%$  was clearly indicative of ‘high’ Ki-67 status. A minority questioned the role of Ki-67 in breast

cancer treatment decisions. The Panel stressed the need for standardization, and that laboratories should participate in quality assurance programmes.

The Panel was strongly of the opinion that intrinsic subtypes, including those defined by the clinico-pathological surrogates, should influence whether or not chemotherapy was used, but not the choice of the cytotoxic regimen. After clinico-pathological assessment, a slim majority of the Panel was in favour of requesting a multi-gene assay in node-negative, ER-positive and HER2-negative cases. The Panel considered that only the 21-gene RS was predictive of chemotherapy responsiveness, though a substantial minority would also endorse PAM50 or the 70-gene signature for this purpose. This led to a recommendation that selection of patients who might forego chemotherapy could be based on the 21-gene RS, but the Panel did not offer majority endorsement for PAM50, the 70-gene signature or EPCLin as yet established for this purpose.

For patients with ER-positive, HER2-negative disease, the use of molecular diagnostics was felt to be unnecessary in low-risk patients such as those with a tumour size of  $\leq 1$  cm in the setting of negative lymph nodes, since chemotherapy would be unlikely to be given anyway. Similarly, patients with a higher risk such as those with a tumour size  $> 5$  cm, inflammatory breast cancer, those with four or more involved nodes, or a very low ER positivity (e.g. 5%) might not benefit from molecular diagnostics because chemotherapy would be likely to be offered in any case. Patients in whom chemotherapy was thought to be of uncertain indication and who might, therefore, benefit from molecular diagnostics were felt to include selected patients with node-negative disease, those with one to three positive nodes, and patients aged  $< 35$ .

In the determination of HER2 status for treatment purposes, the Panel did not believe that polysomy of chromosome 17, or heterogeneity of expression of HER2 need to be considered.

### adjuvant endocrine therapy in premenopausal women

The large majority of the Panel said that tamoxifen alone was the default adjuvant endocrine therapy for premenopausal patients. In light of recent trial evidence, it was felt that at least some patients should have a treatment duration of 10 years, although this may not be needed by all patients. Most Panellists thought ovarian suppression need not be added to tamoxifen, but Panellists were evenly divided for patients  $< 40$  years of age. Most of the Panel regarded both ovarian suppression alone without tamoxifen and its combination with aromatase inhibitors as inappropriate unless tamoxifen was contraindicated.

### adjuvant endocrine therapy in postmenopausal women

The Panel strongly believed that some postmenopausal women could be treated with tamoxifen alone. If an aromatase inhibitor were included in the regimen, Panellists were equally divided whether treatment should start with the aromatase inhibitor, although this strategy was strongly preferred for patients at high risk. Most Panellists believed that initial aromatase inhibitor therapy could be replaced by tamoxifen after 2 years, if there

were a reason to do so. Extension of aromatase inhibitor therapy beyond the first five years for patients with node-positive, but not node-negative disease was strongly supported, for patients whose initial treatment was tamoxifen or whose initial therapy was  $< 5$  years of an aromatase inhibitor. The Panel was equally divided concerning an extended duration of aromatase inhibitor therapy beyond 5 years of treatment with these agents. Extended adjuvant endocrine therapy using tamoxifen is a consideration after a 5-year course of an aromatase inhibitor, though this approach has not been directly studied.

### adjuvant cytotoxic chemotherapy

The Panel was clearly of the opinion that factors arguing for the inclusion of chemotherapy were histological grade 3 tumours, high Ki-67, low hormone receptor status, HER2 positivity or triple-negative status, high 21-gene RS, high-risk 70-gene signature and the involvement of more than three lymph nodes. Most felt that nodal positivity *per se* was not an indication for chemotherapy but very few would forego chemotherapy for patients with four or more positive nodes. Lymphovascular invasion was not recognized as an indication, while the Panel was equally divided whether young age ( $< 35$  years) was an indication.

The Panel was of the strong opinion that patients with Luminal A-like disease were 'less responsive to chemotherapy', but this treatment could be added to endocrine therapy based on the large tumour volume, assessment of risk or patient preference. The Panel did not select a specific chemotherapy regimen for these patients and expressed the view that any of the standard regimens, including the first- and second-generation regimens (CMF, AC, TC), could be considered.

For patients with Luminal B (HER2-negative) disease, the majority of the Panel considered chemotherapy to be indicated. Chemotherapy regimens for Luminal B (HER2-negative) disease should generally contain anthracyclines and (by a slim majority) taxanes. Half the Panel agreed that such chemotherapy should be delivered for at least six cycles, but the Panel did not endorse the exclusive use of a dose dense regimen.

For patients with HER2-positive disease, the Panel strongly believed, while there was no specifically preferred regimen, chemotherapy should include a taxane and, for most Panel members, also an anthracycline.

For patients with 'basal-like' (triple-negative ductal) disease, the Panel strongly endorsed both anthracyclines and taxanes, and did not believe that platinum, or regimens emphasizing alkylating agents were specifically required. There was no clear consensus on the role of dose dense regimens, though a substantial minority expressed support for such treatment.

General considerations influencing the choice of chemotherapy regimen were thought to include a desire to preserve fertility, the avoidance of alopecia and the presence of co-morbidities, but not intrinsic subtype or the presence of *BRCA1* or *BRCA2* mutation. Older chronological age should not necessarily influence the choice of regimen [119], but assessment of co-morbidities and general health was considered, especially important in older patients.



### anti-HER2 therapies

For patients whose tumours show amplification or overexpression of HER2, the Panel considered that trastuzumab therapy was indicated for patients with tumours >5 mm, while some Panellists would treat patients with such tumours of any size. Most felt that trastuzumab should be given concurrently with a taxane, but not with an anthracycline. The Panel was prepared to endorse trastuzumab (with endocrine therapy, if indicated) without chemotherapy only if chemotherapy were contraindicated. The Panel was unanimous that the duration of trastuzumab should be 1 year.

### neoadjuvant cytotoxic chemotherapy

The Panel was split about whether neoadjuvant chemotherapy had benefits beyond local downstaging. The Panel did not support additional postoperative adjuvant chemotherapy following a full course of neoadjuvant chemotherapy, whether or not pCR were achieved. Most believe when neoadjuvant therapy is given outside of a clinical trial, the full course of chemotherapy should be completed before surgery. In the unusual situation in which a surgery is carried out after less than a full course of neoadjuvant chemotherapy most Panel members would complete the course postoperatively.

### neoadjuvant anti-HER2 therapy

For patients with HER2-positive disease, the Panel was strongly of the opinion that neoadjuvant treatment should include anti-HER2 drugs, and the majority recommended the use of chemotherapy plus trastuzumab alone (without additional anti-HER agents).

### neoadjuvant endocrine therapy

The Panel strongly endorsed endocrine therapy alone as neoadjuvant treatment for postmenopausal patients with strongly positive hormone receptors and low proliferating disease, and most thought that such treatment should be continued until maximal response.

### bisphosphonates

The Panel considered several situations in which bisphosphonates might be used with the aim of improving disease-free survival, but did not endorse such treatment for this purpose in any group, though a substantial minority felt that premenopausal patients receiving an LHRH agonist plus tamoxifen or clearly postmenopausal patients might derive benefit from such treatment. Denosumab was not endorsed for adjuvant use.

### follow-up

The majority of the Panel believed that regular follow-up after the completion of immediate treatment (excluding long-term endocrine therapy) was appropriate, but that this could be supervised by a nurse specialist, rather than a surgeon or oncologist. The majority of the Panel also believed that follow-up should be done in person and not by telephone.

### summary of treatment recommendations

The conference endorsed recent trial evidence supporting less extensive local therapies. It refined and re-iterated the value of clinico-pathological surrogate definitions resembling intrinsic subtypes to guide selection of systemic adjuvant therapies. The Panel recognized the superior accuracy and reproducibility of multi-gene molecular assays, but recognized that these assays are not available in all parts of the world. The Panel also noted the variability in the current levels of evidence to support the use of the individual multi-gene assays. Ongoing trials will prospectively define the value of chemotherapy in addition to endocrine therapy in patients with luminal disease in the node-negative (TAILORx, MINDACT) and node-positive (MINDACT, RxPONDER) cohorts. It is therefore to be hoped that a future St Gallen Consensus conference will be able to provide more robustly supported recommendations for treatment of such patients.

### acknowledgements

We gratefully acknowledge the participants in the 13<sup>th</sup> St Gallen conference for their many useful suggestions. In addition to Panel members, we thank Drs. John Yarnold and Timothy J. Whelan for guidance in the development of the questions considered by the Panel. We thank Mrs. Sabina Briner, Dr. Carmen Criscitiello, and Mrs. Shari Gelber for their substantial assistance in the preparation of this report.

### funding

Support for the conference was provided by SONK from registration fees paid by the conference attendees and by Grant No. CA75362 from the United States National Cancer Institute (IBCSG Statistical Center, R. Gelber, PI).

### disclosure

The full COI statements of all authors are included in appendix 2.

### references

1. Goldhirsch A, Wood WC, Coates AS et al. Strategies for subtypes—dealing with the diversity of breast cancer: highlights of the St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2011. *Ann Oncol* 2011; 22: 1736–1747.
2. The Cancer Genome Atlas Network. Comprehensive molecular portraits of human breast tumours. *Nature* 2012; 490: 61–70.
3. Dunbier AK, Anderson H, Ghazoui Z et al. Association between breast cancer subtypes and response to neoadjuvant anastrozole. *Steroids* 2011; 76: 736–740.
4. Ellis MJ, Ding L, Shen D et al. Whole-genome analysis informs breast cancer response to aromatase inhibition. *Nature* 2012; 486: 353–360.
5. Galimberti V, Botteri E, Chifu C et al. Can we avoid axillary dissection in the micrometastatic sentinel node in breast cancer? *Breast Cancer Res Treat* 2012; 131: 819–825.
6. Martelli G, Boracchi P, Ardoino I et al. Axillary dissection versus no axillary dissection in older patients with T1N0 breast cancer: 15-year results of a randomized controlled trial. *Ann Surg* 2012; 256: 920–924.
7. Goldhirsch A, Gelber RD, Piccart-Gebhart MJ et al. 2 years versus 1 year of adjuvant trastuzumab for HER2-positive breast cancer (HERA): an open-label,

- randomised controlled trial. *The Lancet* 2013 July 18 [epub ahead of print], doi: 10.1016/S0140-6736(13)61094-6.
8. Pivot X, Romieu G, Debled M et al. 6 months versus 12 months of adjuvant trastuzumab for patients with HER2-positive early breast cancer (PHARE): a randomised phase 3 trial. *Lancet Oncol* 2013; 14: 741–748.
  9. Davies C, Pan H, Godwin J et al. Long-term effects of continuing adjuvant tamoxifen to 10 years versus stopping at 5 years after diagnosis of oestrogen receptor-positive breast cancer: ATLAS, a randomised trial. *Lancet* 2013;381: 805–816.
  10. Baselga J, Campone M, Piccart M et al. Everolimus in postmenopausalhormone-receptor-positive advanced breast cancer. *N Engl J Med* 2012; 366: 520–529.
  11. Osborne CK, Schiff R. Overcoming resistance to endocrine therapy due to PI3K/AKT pathway activation by combinations of mTOR, AKT or MEK inhibitors. *The Breast* 2013; 22(Supp 1); S2 (Abstr. SP1.04).
  12. Ibrahim YH, Garcia-Garcia C, Serra V et al. PI3K Inhibition impairs BRCA1/2 expression and sensitizes BRCA proficient triple negative breast cancer to PARP inhibition. *Cancer Discov* 2012; 2: 1036–1047.
  13. Juvekar A, Burga LN, Hu H et al. Combining a PI3K inhibitor with a PARP inhibitor provides an effective therapy for BRCA1-related breast cancer. *Cancer Discov* 2012; 2: 1048–1063.
  14. Varna M, Bousquet G, Plassa LF et al. TP53 status and response to treatment in breast cancers. *J Biomed Biotechnol* 2011 May 9 [epub ahead of print], doi: 10.1155/2011/284584.
  15. Jackson JG, Pant V, Li Q et al. p53-mediated senescence impairs the apoptotic response to chemotherapy and clinical outcome in breast cancer. *Cancer Cell* 2012; 21: 793–806.
  16. Bailey ST, Shin H, Westerling T et al. Estrogen receptor prevents p53-dependent apoptosis in breast cancer. *Proc Natl Acad Sci USA* 2012; 109: 18060–5.
  17. Bonnefoi H, Piccart M, Bogaerts J et al. TP53 Status for prediction of sensitivity to taxane versus non-taxane neoadjuvant chemotherapy in breast cancer (EORTC 10994/BIG 1–00): a randomised phase 3 trial. *Lancet Oncol* 2011; 12: 527–539.
  18. Early Breast Cancer Trialists' Collaborative Group. Comparisons between different polychemotherapy regimens for early breast cancer: meta-analyses of long-term outcome among 100 000 women in 123 randomised trials. *Lancet* 2012;379: 432–444.
  19. Di Leo A. The continued evidence from overviews: what is the clinical utility? *The Breast* 2013; 22(Supp 1); S2 (Abstr. SP1.02).
  20. Ellis MJ, Perou CM. The genomic landscape of breast cancer as a therapeutic roadmap. *Cancer Discov* 2013; 3: 27–34.
  21. Hayes DF. From the genome to bedside: are we lost in translation? *The Breast* 2013; 22(Supp 1); S3 (Abstr. SP2.02).
  22. Parker JS, Mullins M, Cheang MC et al. Supervised risk predictor of breast cancer based on intrinsic subtypes. *J Clin Oncol* 2009; 27: 1160–1167.
  23. Cheang MCU, Chia SK, Voduc D et al. Ki67 index, HER2 status, and prognosis of patients with luminal B breast cancer. *J Natl Cancer Inst* 2009; 101: 736–750.
  24. Prat A, Cheang MC, Martin M et al. Prognostic significance of progesterone receptor-positive tumor cells within immunohistochemically defined luminal A breast cancer. *J Clin Oncol* 2013; 31: 203–209.
  25. Dowsett M, Nielsen TO, A'Hern R et al. Assessment of Ki67 in breast cancer: recommendations from the international Ki67 in breast cancer working group. *J Natl Cancer Inst* 2011; 103: 1656–1664.
  26. Nielsen TO, Polley M-YC, Leung SCY et al. An international Ki-67 reproducibility study. In Presented at the 35th San Antonio Breast Cancer Symposium, December 4–8, 2012 (Abstr S4–6).
  27. Luporsi E, Andre F, Spyrtos F et al. Ki-67: level of evidence and methodological considerations for its role in the clinical management of breast cancer: analytical and critical review. *Breast Cancer Res Treat* 2012; 132: 895–915.
  28. Buckland G, Travier N, Cottet V et al. Adherence to the Mediterranean diet and risk of breast cancer in the European prospective investigation into cancer and nutrition cohort study. *Int J Cancer* 2013; 132: 2918–2927.
  29. Chlebowski RT. Nutrition and physical activity influence on breast cancer incidence and recurrence. *The Breast* 2013; 22(Supp 1); S5. (Abstr. SP3.01).
  30. Folkard EJ, Dixon JM, Renshaw L et al. Suppression of plasma estrogen levels by letrozole and anastrozole is related to body mass index in patients with breast cancer. *J Clin Oncol* 2012; 30: 2977–2980.
  31. Dowsett M, Folkard E. Sex hormones and breast cancer risk and prognosis. *The Breast* 2013; 22(Supp 1); S5. (Abstr. SP3.02).
  32. Mavaddat N, Barrowdale D, Andrulis IL et al. Pathology of breast and ovarian cancers among BRCA1 and BRCA2 mutation carriers: results from the consortium of investigators of modifiers of BRCA1/2 (CIMBA). *Cancer Epidemiol Biomarkers Prev* 2012; 21: 134–147.
  33. Niraula S, Ocana A, Ennis M et al. Body size and breast cancer prognosis in relation to hormone receptor and menopausal status: a meta-analysis. *Breast Cancer Res Treat* 2012; 134: 769–781.
  34. Sestak I, Distler W, Forbes JF et al. Effect of body mass index on recurrences in tamoxifen and anastrozole treated women: an exploratory analysis from the ATAC trial. *J Clin Oncol* 2010; 28: 3411–3415.
  35. Ewertz M, Gray KP, Regan MM et al. Obesity and risk of recurrence or death after adjuvant endocrine therapy with letrozole or tamoxifen in the Breast International Group 1–98 trial. *J Clin Oncol* 2012; 30: 3967–3975.
  36. Goodwin PJ, Pritchard KI. Obesity and hormone therapy in breast cancer: an unfinished puzzle. *J Clin Oncol* 2010; 28: 3405–3407.
  37. Pfeiler G, Koenigsberg R, Fesl C et al. Impact of body mass index on the efficacy of endocrine therapy in premenopausal patients with breast cancer: an analysis of the prospective ABCSG-12 trial. *J Clin Oncol* 2011; 29: 2653–2659.
  38. Bertolini F. Adipose tissue and breast cancer progression: a link between metabolism and cancer. *The Breast* 2013; 22(Supp 1); S6 (Abstr. SP4.01).
  39. Bertolini F, Lohsirivat V, Petit JY et al. Adipose tissue cells, lipotransfer and cancer: a challenge for scientists, oncologists and surgeons. *Biochim Biophys Acta* 2012; 1826: 209–214.
  40. Petit JY, Botteri E, Lohsirivat V et al. Locoregional recurrence risk after lipofilling in breast cancer patients. *Ann Oncol* 2012; 23: 582–588.
  41. Oskarsson T, Acharyya S, Zhang XH et al. Breast cancer cells produce tenascin C as a metastatic niche component to colonize the lungs. *Nat Med* 2011; 17: 867–874.
  42. Oskarsson T. Extracellular matrix players in breast cancer progression and metastasis. *The Breast* 2013; 22(Supp 1); S7 (Abstr. SP4.04).
  43. Coleman RE, Marshall H, Cameron D et al. Breast-cancer adjuvant therapy with zoledronic acid. *N Engl J Med* 2011; 365: 1396–1405.
  44. Coleman R, de Boer R, Eidtmann H et al. Zoledronic acid (zoledronate) for postmenopausal women with early breast cancer receiving adjuvant letrozole (ZO-FAST study): final 60-month results. *Ann Oncol* 2013; 24: 398–405.
  45. Gnant M, Mlineritsch B, Stoeger H et al. Adjuvant endocrine therapy plus zoledronic acid in premenopausal women with early-stage breast cancer: 62-month follow-up from the ABCSG-12 randomised trial. *Lancet Oncology* 2011; 12: 631–641.
  46. Goldhirsch A. Personalized adjuvant therapies: lessons from the past. *The Breast* 2013; 22(Supp 1); S1 (Abstr. SP0.01).
  47. Kerbel RS. Preclinical recapitulation of antiangiogenic drug clinical efficacies using models of early or late stage breast cancer metastasis. *The Breast* 2013; 22(Supp 1); S7. (Abstr. SP4.03)(manuscript in preparation).
  48. Hassett MJ, Silver SM, Hughes ME et al. Adoption of gene expression profile testing and association with use of chemotherapy among women with breast cancer. *J Clin Oncol* 2012; 30: 2218–2226.
  49. Drukker CA, Bueno-de-Mesquita JM, Retel VP et al. A prospective evaluation of a breast cancer prognosis signature in the observational RASTER study. *Int J Cancer* 2013; 131: 929–936.
  50. Pusztai L. Influence of genomics on adjuvant treatments for pre-invasive and invasive breast cancer. *The Breast* 2013; 22(Supp 1); S9 (Abstr. SP5.03).
  51. Nielsen TO, Parker JS, Leung S et al. A comparison of PAM50 intrinsic subtyping with immunohistochemistry and clinical prognostic factors in tamoxifen-treated estrogen receptor-positive breast cancer. *Clin Cancer Res* 2010; 16: 5222–5232.
  52. Dubsy P, Filipits M, Jakesz R et al. Endopredict improves the prognostic classification derived from common clinical guidelines in ER-positive, HER2-negative early breast cancer. *Ann Oncol* 2013; 24: 640–647.

53. Sgroi D, Sestak I, Czucik J et al. Comparative performance of breast cancer index (BCI) versus oncotype DX and IHC4 in the prediction of late recurrence in hormonal receptor-positive lymph node negative breast cancer patients: a transATAC study. In Presented at the 35th San Antonio Breast Cancer Symposium, December 4–8, 2012 (Abstr S1–9).
54. Viale G. Characterization and clinical impact of residual disease after neoadjuvant chemotherapy. *The Breast* 2013; 22(Suppl 1); S9 (Abstr. SP5.04).
55. von Minckwitz G, Untch M, Blohmer JU et al. Definition and impact of pathologic complete response on prognosis after neoadjuvant chemotherapy in various intrinsic breast cancer subtypes. *J Clin Oncol* 2012; 30: 1796–1804.
56. Loi S, Sirtaine N, Piette F et al. Prognostic and predictive value of tumor-infiltrating lymphocytes in a phase III randomized adjuvant breast cancer trial in node-positive breast cancer comparing the addition of docetaxel to doxorubicin with doxorubicin-based chemotherapy: BIG 02–98. *J Clin Oncol* 2013; 31: 860–867.
57. Andre F. Risk stratification for treatment choice: opportunities, challenges and tools. *The Breast* 2013; 22(Suppl 1); S10 (Abstr. SP6.01).
58. Sotiriou C. Immune signatures: prognostic and predictive role in breast cancer. *The Breast* 2013; 22(Suppl 1); S11 (Abstr. SP6.04).
59. Curigliano G. Developing an effective breast cancer vaccine. *The Breast* 2013; 22(Suppl 1); S10 (Abstr. SP6.02)(manuscript in preparation).
60. Denkert C, Loibl S, Noske A et al. Tumor-associated lymphocytes as an independent predictor of response to neoadjuvant chemotherapy in breast cancer. *J Clin Oncol* 2010; 28: 105–113.
61. Morrow M. Personalizing extent of breast cancer surgery according to molecular subtypes. *The Breast* 2013; 22(Suppl 1); S12 (Abstr. SP7.03).
62. Kiess AP, McArthur HL, Mahoney K et al. Adjuvant trastuzumab reduces locoregional recurrence in women who receive breast-conservation therapy for lymph node-negative, human epidermal growth factor receptor 2-positive breast cancer. *Cancer* 2012; 118: 1982–1988.
63. Rutgers E. Who should not undergo breast conservation? *The Breast* 2013; 22(Suppl 1); S13 (Abstr. SP7.04).
64. Wood WC. Close/positive margins after breast-conserving therapy. *The Breast* 2013; 22(Suppl 1); S13 (Abstr. SP7.05).
65. Galimberti V, Cole BF, Zurrada S et al. Axillary dissection versus no axillary dissection in patients with sentinel-node micrometastases (IBCSG 23–01): a phase 3 randomised controlled trial. *Lancet Oncol* 2013; 14: 297–305.
66. Giuliano AE, Hunt KK, Ballman KV et al. Axillary dissection versus no axillary dissection in women with invasive breast cancer and sentinel node metastasis. *JAMA* 2011; 305: 569–575.
67. Gentilini O, Veronesi U. Abandoning sentinel lymph node biopsy in early breast cancer? A new trial in progress at the European Institute of Oncology of Milan (SOUND: sentinel node versus observation after axillary UltraSOUND). *Breast* 2012; 21: 678–681.
68. Whelan TJ, Pignol JP, Levine MN et al. Long-term results of hypofractionated radiation therapy for breast cancer. *N Engl J Med* 2010; 362: 513–520.
69. Yarnold JR. The UK START (standardisation of breast radiotherapy) trials: 10-year follow-up results. In Presented at the 35th San Antonio Breast Cancer Symposium, December 4–8, 2012 (Abstr. S4–1).
70. Harris JR. Hypofractionation in the era of modulated radiotherapy. *The Breast* 2013; 22(Suppl 1); S14 (Abstr. SP8.02).
71. Orecchia R. Partial breast irradiation: targeting volume or breast molecular subtypes? *The Breast* 2013; 22(Suppl 1); S14 (Abstr. SP8.01).
72. Smith BD, Arthur DW, Buchholz TA et al. Accelerated partial breast irradiation consensus statement from the American Society for Radiation Oncology (ASTRO). *Int J Radiat Oncol Biol Phys* 2009; 74: 987–1001.
73. Polgar C, Van limbergen E, Potter R et al. Patient selection for accelerated partial-breast irradiation (APBI) after breast-conserving surgery: recommendations of the Groupe Européen de Curiethérapie-European Society for Therapeutic Radiology and Oncology (GEC-ESTRO) breast cancer working group based on clinical evidence (2009). *Radiother Oncol* 2010; 94: 264–273.
74. Sedlmayer F. Partial breast re-irradiation for local recurrence of breast carcinoma: benefit and potential long term side effects. *The Breast* 2013; 22(Suppl 1); S15 (Abstr. SP8.04).
75. Paik S, Tang G, Shak S et al. Gene expression and benefit of chemotherapy in women with node-negative, estrogen receptor-positive breast cancer. *J Clin Oncol* 2006; 24: 3726–3734.
76. Albain KS, Barlow WE, Shak S et al. Prognostic and predictive value of the 21-gene recurrence score assay in postmenopausal women with node-positive, oestrogen-receptor-positive breast cancer on chemotherapy: a retrospective analysis of a randomised trial. *Lancet Oncol* 2010; 11: 55–65.
77. Cheang MC, Voduc KD, Tu D et al. Responsiveness of intrinsic subtypes to adjuvant anthracycline substitution in the NCIC.CT.G MA.5 randomized trial. *Clin Cancer Res* 2012; 18: 2402–2412.
78. Burstein HJ. Patients with triple-negative breast cancer: is there an optimal adjuvant treatment? *The Breast* 2013; 22(Suppl 1); S16 (Abstr. SP9.02).
79. Colleoni M. Extended adjuvant chemotherapy in endocrine non-responsive disease. *The Breast* 2013; 22(Suppl 1); S17 (Abstr. SP10.01).
80. von Minckwitz G. Selecting the neoadjuvant treatment by molecular subtype: how to maximise the benefit. *The Breast* 2013; 22(Suppl 1); S16 (Abstr. SP9.03).
81. Gianni L, Zambetti M, Clark K et al. Gene expression profiles in paraffin-embedded core biopsy tissue predict response to chemotherapy in women with locally advanced breast cancer. *J Clin Oncol* 2005; 23: 7265–7277.
82. Straver ME, Glas AM, Hannemann J et al. The 70-gene signature as a response predictor for neoadjuvant chemotherapy in breast cancer. *Breast Cancer Res Treat* 2010; 119: 551–558.
83. Piccart M. Patients with HER2 positive breast cancer: delivery, duration and combination therapies. *The Breast* 2013; 22(Suppl 1); S16 (Abstr. SP9.04).
84. Davidson NE. Optimal systemic therapy for premenopausal women with hormone receptor-positive breast cancer. *The Breast* 2013; 22(Suppl 1); S18 (Abstr. SP10.02).
85. Goss PE, Ingle JN, Martino S et al. A randomized trial of letrozole in postmenopausal women after five years of tamoxifen therapy for early-stage breast cancer. *N Engl J Med* 2003; 349: 1793–1802.
86. Goss PE, Ingle JN, Martino S et al. Impact of premenopausal status at breast cancer diagnosis in women entered on the placebo-controlled NCIC CTG MA17 trial of extended adjuvant letrozole. *Ann Oncol* 2013; 24: 355–361.
87. Ingle JN. Postmenopausal women with hormone receptor-positive breast cancer: balancing benefit and toxicity from aromatase inhibitors. *The Breast* 2013; 22(Suppl 1); S19 (Abstr. SP10.05).
88. Amir E, Seruga B, Niraula S et al. Toxicity of adjuvant endocrine therapy in postmenopausal breast cancer patients: a systematic review and meta-analysis. *J Natl Cancer Inst* 2011; 103: 1299–1309.
89. Clarke CA, Keegan TH, Yang J et al. Age-specific incidence of breast cancer subtypes: understanding the black-white crossover. *J Natl Cancer Inst* 2012; 104: 1094–1101.
90. Loibl S, Jackisch C, Gade S. Neoadjuvant chemotherapy in the very young, 35 years of age or younger. In Presented at the 35th San Antonio Breast Cancer Symposium, December 4–8, 2012 (Abstr. S3–1).
91. Partridge AH. Management of breast cancer in the very young. *The Breast* 2013; 22(Suppl 1); S18 (Abstr. SP10.04).
92. Smith I. Follow-up tests to detect recurrent disease: patient's reassurance or medical need? *The Breast* 2013; 22(Suppl 1); S17 (Abstr. SP9.05).
93. Hayes DF. Targeting adjuvant chemotherapy: a good idea that needs to be proven! *J Clin Oncol* 2012; 30: 1264–1267.
94. Coates AS, Colleoni M, Goldhirsch A. Is adjuvant chemotherapy useful for women with luminal A breast cancer? *J Clin Oncol* 2012; 30: 1260–1263.
95. Prat A, Parker JS, Fan C et al. Concordance among gene expression-based predictors for ER-positive breast cancer treated with adjuvant tamoxifen. *Ann Oncol* 2012; 23: 2866–2873.
96. Regan MM, Pagani O, Walley B et al. Premenopausal endocrine-responsive early breast cancer: who receives chemotherapy? *Ann Oncol* 2008; 19: 1231–1241.
97. Wirapati P, Sotiriou C, Kunkel S et al. Meta-analysis of gene expression profiles in breast cancer: toward a unified understanding of breast cancer subtyping and prognosis signatures. *Breast Cancer Res* 2008; 10: R65.
98. Reed SD, Dinan MA, Schulman KA et al. Cost-effectiveness of the 21-gene recurrence score assay in the context of multifactorial decision making to guide chemotherapy for early-stage breast cancer. *Genet Med* 2013; 15: 203–211.

99. Vanderlaan BF, Broder MS, Chang EY et al. Cost-effectiveness of 21-gene assay in node-positive, early-stage breast cancer. *Am J Manag Care* 2011; 17: 455–464.
100. Davidson JA, Cromwell I, Ellard SL et al. A prospective clinical utility and pharmacoeconomic study of the impact of the 21-gene recurrence score(R) assay in oestrogen receptor positive node negative breast cancer. *Eur J Cancer* 2013 April 20 [epub ahead of print], doi: 10.1016/j.ejca.2013.03.009.
101. Hannouf MB, Xie B, Brackstone M et al. Cost-effectiveness of a 21-gene recurrence score assay versus Canadian clinical practice in women with early-stage estrogen- or progesterone-receptor-positive, axillary lymph-node negative breast cancer. *BMC Cancer* 2012; 12: 447.
102. Lamond NW, Skedgel C, Rayson D et al. Cost-utility of the 21-gene recurrence score assay in node-negative and node-positive breast cancer. *Breast Cancer Res Treat* 2012; 133: 1115–1123.
103. Tsoi DT, Inoue M, Kelly CM et al. Cost-effectiveness analysis of recurrence score-guided treatment using a 21-gene assay in early breast cancer. *Oncologist* 2010; 15: 457–465.
104. Hornberger J, Cosler LE, Lyman GH. Economic analysis of targeting chemotherapy using a 21-gene RT-PCR assay in lymph-node-negative, estrogen-receptor-positive, early-stage breast cancer. *Am J Manag Care* 2005; 11: 313–324.
105. Klang SH, Hammerman A, Liebermann N et al. Economic implications of 21-gene breast cancer risk assay from the perspective of an Israeli-managed health-care organization. *Value Health* 2010; 13: 381–387.
106. Hall PS, McCabe C, Stein RC et al. Economic evaluation of genomic test-directed chemotherapy for early-stage lymph node-positive breast cancer. *J Natl Cancer Inst* 2012; 104: 56–66.
107. Blohmer JU, Rezaei M, Kummel S et al. Using the 21-gene assay to guide adjuvant chemotherapy decision-making in early-stage breast cancer: a cost-effectiveness evaluation in the German setting. *J Med Econ* 2013; 16: 30–40.
108. Eiermann W, Rezaei M, Kummel S et al. The 21-gene recurrence score assay impacts adjuvant therapy recommendations for ER-positive, node-negative and node-positive early breast cancer resulting in a risk-adapted change in chemotherapy use. *Ann Oncol* 2013; 24: 618–624.
109. Kondo M, Hoshi SL, Ishiguro H et al. Economic evaluation of the 70-gene prognosis-signature (MammaPrint(R)) in hormone receptor-positive, lymph node-negative, human epidermal growth factor receptor type 2-negative early stage breast cancer in Japan. *Breast Cancer Res Treat* 2012; 133: 759–768.
110. Sahin AA, Ro J, Ro JY et al. Ki-67 immunostaining in node-negative stage I/II breast carcinoma: significant correlation with prognosis. *Cancer* 1991;68: 549–557.
111. Railo M, Lundin J, Haglund C et al. Ki-67, p53, ER-receptors, ploidy and S-phase as prognostic factors in T1 node negative breast cancer. *Acta Oncol* 1997; 36: 369–374.
112. Jansen RL, Hopperets PS, Arends JW et al. MIB-1 labelling index is an independent prognostic marker in primary breast cancer. *Br J Cancer* 1998; 78: 460–465.
113. Mirza AN, Mirza NQ, Vlastos G et al. Prognostic factors in node-negative breast cancer: a review of studies with sample size more than 200 and follow-up more than 5 years. *Ann Surg* 2002; 235: 10–26.
114. Trihia H, Murray S, Price K et al. Ki-67 expression in breast carcinoma. *Cancer* 2003; 97: 1321–1331.
115. de Azambuja E, Cardoso F, de CG, Jr et al. Ki-67 as prognostic marker in early breast cancer: a meta-analysis of published studies involving 12 155 patients. *Br J Cancer* 2007; 96: 1504–1513.
116. Dowsett M, Smith IE, Ebbs SR et al. Prognostic value of Ki67 expression after short-term presurgical endocrine therapy for primary breast cancer. *J Natl Cancer Inst* 2007; 99: 167–170.
117. Viale G, Regan MM, Mastropasqua MG et al. Value of Ki-67 in two randomized trials of adjuvant chemo-endocrine therapies for node-negative breast cancer. *J Natl Cancer Inst* 2008; 100: 207–212.
118. Viale G, Giobbie-Hurder A, Regan MM et al. Prognostic and predictive value of centrally reviewed Ki-67 labeling index in postmenopausal women with endocrine-responsive breast cancer: results from Breast International Group trial 1–98 comparing adjuvant tamoxifen with letrozole. *J Clin Oncol* 2008;26: 5569–5575.
119. Muss HB, Berry DA, Cirincione CT et al. Adjuvant chemotherapy in older women with early stage breast cancer. *N Engl J Med* 2009; 360: 2055–2065.

## appendix 1

Members of the Panel are listed below. All had a significant input to the discussion and manuscript.

- Kathy S. Albain, Loyola University Medical Center, Cardinal Bernardin Cancer Center, Maywood, USA
- Fabrice André, Research Director, Department of Medical Oncology, Institut Gustave Roussy, Villejuif, France
- Jonas Bergh, Radiumhemmet & Karolinska Oncology, Karolinska Institutet and University Hospital, Stockholm, Sweden
- Hervé Bonnefoi, Institut Bergonié Cancer Center, Université de Bordeaux, Bordeaux, France
- Denisse Bretel-Morales, GECOPERU, Lima, Peru
- Harold Burstein, Department of Medical Oncology/Solid Tumour Oncology, Dana-Farber Cancer Institute, Boston, USA
- Fatima Cardoso, Breast Unit, Champalimaud Cancer Center, Lisbon, Portugal
- Monica Castiglione-Gertsch, Oncogynaecology Unit, University Hospital, Geneva, Switzerland
- Alan S. Coates, International Breast Cancer Study Group and University of Sydney, Sydney, Australia
- Marco Colleoni, Research Unit Medical Senology, European Institute of Oncology, Milan, Italy
- Alberto Costa, ESO—European School of Oncology, Milan, Italy and Breast Unit of Southern Switzerland, Lugano, Switzerland
- Giuseppe Curigliano, Division of Medical Oncology, European Institute of Oncology, Milan, Italy
- Nancy E. Davidson, Division of Hematology/Oncology, University of Pittsburgh Cancer Institute and UPMC Cancer Center, Pittsburgh, USA
- Angelo Di Leo, ‘Sandro Pitigliani’ Medical Oncology Unit, Department of Oncology, Hospital of Prato, Prato, Italy
- Bent Ejlertsen, Department of Oncology, Bldg 4262 Rigshospitalet, Copenhagen, Denmark
- John F. Forbes, Department of Surgical Oncology, University of Newcastle, Calvary Mater Hospital, ANZ BCTG, Newcastle NSW, Australia
- Richard D. Gelber, Department of Biostatistics and Computational Biology, Dana-Farber Cancer Institute, Boston, USA
- Michael Gnant, Department of Surgery, Comprehensive Cancer Center Vienna, Medical University of Vienna, Wien, Austria
- Aron Goldhirsch, Division of Medical Oncology, European Institute of Oncology, International Breast Cancer Study Group, Milan, Italy, and Ospedale Italiano, Viganello-Lugano, Switzerland (Chairman)
- Pamela Goodwin, Department of Medicine, Division of Clinical Epidemiology, Samuel Lunenfeld Research Institute, Mount Sinai Hospital and Princess Margaret Hospital, University of Toronto/Toronto, Canada

- Paul E. Goss, Department of Medicine, MGH Cancer Center, Boston, USA
- Jay R. Harris, Department of Radiation Oncology, Dana-Farber Cancer Institute, Brigham and Women's Hospital, Boston, USA
- Daniel F. Hayes, Department of Internal Medicine, Breast Care Center, University of Michigan, Comprehensive Cancer Center, Ann Arbor, USA
- Clifford A. Hudis, Breast Cancer Medicine Service, Memorial Sloan-Kettering Cancer Center, Memorial Hospital, and Weill Cornell Medical College, New York
- James N. Ingle, Mayo Clinic Cancer Center, Women's Cancer Programme, Rochester, USA
- Jacek Jassem, Department of Oncology & Radiotherapy, Medical University of Gdansk, Gdansk, Poland
- Zefei Jiang, 307 Hospital, Academy of Military Medical Sciences, Beijing, China
- Per Karlsson, Department of Oncology, Institute of Selected Clinical Sciences, Sahlgrenska Academy, Sahlgrenska University Hospital, Göteborg, Sweden
- Sibylle Loibl, Unit Head of Medicine & Research, German Breast Group, GBG Forschungs GmbH, Neu-Isenburg, Germany
- Monica Morrow, Memorial Sloan-Kettering Cancer Center, Evelyn Lauder Breast Center, New York, USA
- Moise Namer, Medical Oncology, Center Antoine Lacassagne, Nice Cedex 2, France
- C. Kent Osborne, Dan L. Duncan Cancer Center, Baylor College of Medicine, Houston, USA
- Ann H. Partridge, Department of Medicine, Harvard Medical School, Dana-Farber Cancer Institute, Boston, USA
- Frédérique Penault-Llorca, Service d'Anatomie Pathologie Moléculaire, Dépt. RIO, Center Jean Perrin, Clermont-Ferrand Cedex 1, France
- Charles M. Perou, Departments of Genetics and Pathology, Lineberger Comprehensive Cancer Center, The University of North Carolina at Chapel Hill, Chapel Hill, USA
- Martine J. Piccart-Gebhart, Internal Medicine, Oncology, Institut Jules Bordet, Brussels, Belgium
- Kathleen I. Pritchard, University of Toronto, Sunnybrook Odette Cancer Center, Ontario Clinical Oncology Group (OCOG), Toronto, Canada
- Emiel J.T. Rutgers, Department of Surgery, The Netherlands Cancer Institute, Amsterdam, The Netherlands
- Felix Sedlmayer, Department of Radiotherapy and Radiation Oncology, LKH Salzburg, Paracelsus Medical University Hospital, Salzburg, Austria
- Vladimir Semiglazov, N.N. Petrov Research Institute of Oncology, St Petersburg, Russia
- Zhi-Ming Shao, Fudan University, Cancer Hospital, Shanghai, China
- Ian Smith, Breast Unit, Royal Marsden Hospital and Institute of Cancer Research, London, UK
- Beat Thürlimann, Breast Center, Kantonsspital St Gallen, St Gallen, Switzerland
- Masakazu Toi, Department of Breast Surgery, Kyoto University Hospital, Kyoto Japan
- Andrew Tutt, Breast Oncology Unit, King's Health Partners AHSC, Guy's Hospital, London UK
- Michael Untch, Department of Gynaecology and Obstetrics, Multidisciplinary Breast Cancer Center, Helios Klinikum Berlin-Buch, Academic Hospital of the University of Göttingen, Berlin, Germany
- Giuseppe Viale, Department of Pathology, European Institute of Oncology and University of Milan, Milan, Italy
- Toru Watanabe, Department of Medicine, Hamamatsu Oncology Center, Hamamatsu Japan
- Nicholas Wilcken, Department of Medical Oncology, University of Sydney, Westmead Hospital, Wentworthville NSW, Australia
- Eric P. Winer, Breast Oncology Center, Dana-Farber Cancer Institute, Boston, USA (Chairman)
- William C. Wood, Department of Surgery, Winship Cancer Institute, Atlanta, USA

## appendix 2

13<sup>th</sup> International**St.Gallen–Breast Cancer Conference**

St.Gallen/Switzerland, 13-16 March 2013

**Conflict of Interest Disclosure Statement**

St.Gallen Faculty 2013

Name \_\_\_\_\_

Institution \_\_\_\_\_

Nationality \_\_\_\_\_

*Instructions for completing conflict of interest disclosure statement.*

As part of your participation in the 2013 St.Gallen Consensus Conference, you must disclose potential conflicts of interest. To that end, you are asked to disclose the type and value of the relationships that you have with commercial enterprises that might be relevant to the St.Gallen scientific program, the recommendations and the care of patients with cancer. Please note below special instructions regarding disclosure of research support.

A conflict of interest committee will review all disclosures prior to the St.Gallen meeting. It is anticipated that disclosure statements will be made public both at the conference and in related, enduring materials such as publications or multimedia presentations.

You may direct any questions regarding these policies or the disclosure forms to:

Harold J. Burstein, M.D., Ph.D.

Breast Oncology Center, Dana-Farber Cancer Institute, Harvard Medical School

e-mail: hburstein@partners.org, phone: +1 617 632 2624.

Conflict of interest disclosure forms should be completed by 30<sup>th</sup> September 2012.

Please return completed forms per e-mail or fax to [info@oncoconferences.ch](mailto:info@oncoconferences.ch) / 0041 71 245 6805.

I have no personal conflicts of interest to disclose within the past year.

I have personal conflicts of interest to disclose. Please see accompanying page with additional details.

Signature \_\_\_\_\_

Date \_\_\_\_\_

**Conference Secretariat SG-BCC 2013**

St.Gallen Oncology Conferences

c/o ZeTuP

Rorschacherstr. 150

CH-9006 St.Gallen

P +41 71 243 0032 F +41 71 245 6805

info@oncoconferences.ch, www.oncoconferences.ch

**Chairpersons**

Alan S. Coates, Centennial Park/Australia

Richard Gelber, Boston/USA

Aron Goldhirsch, Milano/Italy

Martine Piccart, Brussels/Belgium

Hans-Jörg Senn, St.Gallen/Switzerland

Beat Thürlimann, St.Gallen/Switzerland

**You have indicated that you have personal conflicts of interest to disclose.**

In the table below, please indicate the type and value of such relationships.

In assigning the value of the relationships, please indicate the approximate dollar-value of those relationships that you have received over the past year, as follows:

- < 10K      Less than \$10,000 or equivalent in USD
- 10-50K    Between \$10,000 and \$50,000 or equivalent in USD
- > 50K      Greater than \$50,000 or equivalent in USD

Company / commercial enterprise	Speakers/ Bureau	Consultant/ or Advisory Board Member	Stock / Royalties/ Equity Ownership	Research Support*	Travel support	Employ- ment	Other

Signature \_\_\_\_\_

Date \_\_\_\_\_

\*Research support is often difficult to characterize. We request that you disclose research support received directly by you. This includes: research contracts for specific projects; support for clinical research that is directly linked to your salary; direct support for laboratory or clinical research projects. You are not required to report per capita payments from commercial sponsors for accrual to clinical trials, provided that these funds are given to your department or institution. For example: you should report a grant of \$25,000 from pharma for a collaboration to study biomarkers on tissue specimens. You should report a contract with pharma to pay 10% of your salary as an investigator on a clinical study. You should report a pharma-based fellowship or junior faculty research award. You do not need to report per capita payments to your department for accrual to a pharma-sponsored clinical trial.

**Conference Secretariat SG-BCC 2013**  
 St.Gallen Oncology Conferences  
 c/o ZeTuP  
 Rorschacherstr. 150  
 CH-9006 St.Gallen  
 P +41 71 243 0032 F +41 71 245 6805  
 info@oncoconferences.ch, www.oncoconferences.ch

**Chairpersons**  
 Alan S. Coates, Centennial Park/Australia  
 Richard Gelber, Boston/USA  
 Aron Goldhirsch, Milano/Italy  
 Martine Piccart, Brussels/Belgium  
 Hans-Jörg Senn, St.Gallen/Switzerland  
 Beat Thürlimann, St.Gallen/Switzerland

Downloaded from <http://annonc.oxfordjournals.org/> by guest on August 5, 2013



### 13<sup>th</sup> St.Gallen International Breast Cancer Conference 2013

Primary Therapy of Early Breast Cancer  
Evidence, Controversies, Consensus

13–16 March 2013, St.Gallen/Switzerland

#### COI Statement Results for Faculty of the SG-BCC 2013

Name	None	Company	Spk Bur	Consultant	Stock	Research	Travel	Employment	Other
Albain, Kathy		GHI		< 10k					
		Rochel/Genentech		< 10k					
		Pfizer							Chair DSMB (10-50K)
André, Fabrice		Novartis		< 10k					
		Novartis	< 10K	<10k		10-50K			
		Roche				10-50K			
Baselga, Jose		AstraZeneca		SAB < 10k					
		Bayer		SAB < 10k					
		Intellikine		SAB < 10k					
		Janssen		SAB < 10k					
		Merck		SAB < 10k					
		Novartis		SAB < 10k					
		R Genentech		SAB < 10k					
		Sanofi		SAB < 10k					
						SU2C			
						ERCA			
						BCRF			
						Komen			
Bergh, Jonas	none								
Berry, Don		Berry Consultants LLC			>50k				
Bertheau, Philippe	none								
Bertolini, Francesco	none								
Bonnefoi, Hervé	none								
Bretel Morales, Danisse	none								
Burstein, Harold	none								
Cardoso, Fatima		Eisai		<10k					
		Novartis	<10k	<10k					
		AstraZeneca		<10k					
		Pfizer		<10k			<10k		
		GSK	<10k	<10k					
		Roche					<10k		

Name	None	Company	Spk Bur	Consultant	Stock	Research	Travel	Employment	Other
Castiglione, Monica	none								
Chlebowski, Rowan T.		Amgen				<10k			
		AstraZeneca	10-50k	10-50k					
		Novartis	10-50k	10-50k					
Coates, Alan	none								
Coleman, Robert	none								
Colleoni, Marco	none								
Costa, Alberto		DUNE		10-50k					
Cunigliano, Giuseppe		Roche	<10k	x			x		
		GSK	<10k	x					
		Celgene	<10k				x		
Davidson, Nancy	none								
Di Leo, Angelo		AstraZeneca	10-50k	x	x		x		
		Novartis	<10k	x	x				
		Sanofi-Aventis	<10k		x				
		Roche Genentech	10-50k	x	x			x	
		Celgene	<10k		x				
Dowsett, Mitch		AstraZeneca	<10k	<10k	N/A	>50k			
		Novartis				>50k			
Ejlertsen, Bent		Novartis		ADM		10-50k			
		Pfizer		ADM					
		Aventis		ADM		<10k			
Ellis, Matthew		Novartis		<10k					
		AstraZeneca		<10k					
		Bioclassifier			<10k				
Forbes, John F.		Genomic Health		✓					Adv Board <10k
Foulkes, William	none								
Galimberti, Viviana	none								
Garber, Judy	none								
Gelber, Richard	none								
Gnant, Michael		Amgen	<10k						
		AstraZeneca	<10k						
		Bayer		<10k					
		Genomic Health	<10k						
		GlaxoSmithKline		<10k		<10k			
		Merrion		<10k					
		Novartis	<10k	10-50k		<10k			
		Pfizer		<10k					
		Roche	<10k			<10k			
		Sandoz	<10k						
	Sanofi-Aventis	<10k			10-50k				
Goldhirsch, Aron	none								
Goodwin, Pamela J.	none								
Goss, Paul		Pfizer							Speakers
Gruber, Günther		Genomic Health		<10k					

Downloaded from <http://annonc.oxfordjournals.org/> by guest on August 5, 2013



Name	None	Company	Spk Bur	Consultant	Stock	Research	Travel	Employment	Other
Hamdi, Moustapha	none								
Harms, Jay R.	none								
Hayes, Daniel		Oncoimmune LLC			x				
		Biomarker Strategies		x					
		Roche							Honoraria (educational grant)
		Pfizer				x			
		Novartis				x			
		Veridex (Johnson & Johnson)				x			
		Veridex (Johnson & Johnson)				x			
		Janessen R&D, LLC (Johnson & Johnson)				x			
		Hayes, Daniel							Patents
									My private institution has a research contract with Siemens concerning the investigation of tomosynth. There is no personal salary for me as head investigator. The research is sponsored by Siemens.
Heywang-Kobrunner, Sylvia		Siemens							
Hudis, Clifford	none								
Ingle, James	none								
Jassem, Jacek		Roche	<10k	<10k			<10k		
		GSK				<10k			
		Pfizer		<10k					
		Saladex		<10k					
		Boehringer		<10k			<10k		
		Lilly		<10k					
		Astra		<10k					
Jiang, Zefei	none								
Karlsson, Per	none								
Kaufmann, Manfred	none								
Kerbel, Robert S.		Taiho Pharmaceuticals		10-50k					
		Regeneron							<10k honorarium
		Pfizer							<10k honorarium
		Roche							<10k honorarium
		Sanofi-Aventis							<10k honorarium
		YM Biosciences	<10k	<10k					
		Cerulean		<10k					
Kynakides, Stelia	none								
Loibl, Sybille		Roche	x <10k	x <10k			x <10k		
		Amgen	<10k	<10k			<10k		
		Eisai		<10k					
		Novartis	<10k	<10k			<10k		
Name	None	Company	Spk Bur	Consultant	Stock	Research	Travel	Employment	Other
		Celgene	<10k	<10k					
Minckwitz von, Gunter		Amgen	<10k	<10k					
		Roche	<10k	<10k					
		Genomic Health	<10k	<10k					
		Boehringer	<10k	<10k					
		Novartis	<10k	<10k					
		Bayer		<10k					
		Celgene		<10k					
		Ratiopharm	<10k						
Morrow, Monica	none								
Namer, Moise		Sanofi		<10k					
		Eisai		<10k					
		Roche					x		
Offersen, Brigitte Vrou	none								
Orecchia, Roberto	none								
Osborne, Kent		Genentech		AD, BD <10k					
		Novartis		AD, BD <10k					
Oekarsson, Thordur	none								
Partridge, Ann H.	none								
Penault-Llorca, Frederic	none								
Perou, Charles		Bioclassifier LLC			>50k				
		GeneCentric			>50k				
Piccart, Martine		Sanofi-Aventis	<10k	<10k					
		Amgen		<10k					
		Bayer		<10k					
		Novartis		<10k					
		Roche-Genentech		<10k					
		PharmaMar		<10k					
		AstraZeneca		<10k					
Possinger, Kurt		AstraZeneca					<10k		
		Novartis					<10k		
Pritchard, Kathleen L.		GSK		<10k					
		Novartis	<10k	<10k					
		Roche		<10k					
		AstraZeneca		<10k					
		Pfizer		<10k					
		Amgen		<10k					
		Celgene		<10k					
		Boehringer-Ingelheim		<10k					
Pusztai, Lajos		sanofi	<10k						
		Celgene					<10k		
		Roche					<10k		
Rutgers, Emiel	none								
Sedlmayer, Felix	none								
Semiglazov, Vladimir	none								

Name	None	Company	Spk Bur	Consultant	Stock	Research	Travel	Employment	Other
Senn, Hans-Jörg		Novartis					<10k		
		Takeda					<10k		
Shao, Zhiming	none								
Sidransky, David	none								
Smith, Ian		Lilly		yes			<10k	<10k	
		Roche		yes			<10k	<10k	
Sotiriou, Christos									Co-Inventor of a immune-related gene-expression signature
Thurlimann, Beat		Novartis			>50k				
		Roche			>50k			<10K	
Toi, Masakazu		Eli Lilly		<10k					
		Taiho Pharmaceutical				>50k			
		Chugai Pharmaceutical				10-50k			
		Takeda Pharmaceutical				10-50k			
		Dai-ichi Sankyo				10-50k			
		Eisai				10-50k			
		AstraZeneca				10-50k			
Tutt, Andrew		AstraZeneca		<10k				<10k	
		Clovis		<10k				<10k	
		Eisai		<10k					
		Sanofi Aventis		<10k		>50k		<10k	
		Pfizer		<10k					
		Astellas		<10k				<10k	
		NanoString		<10k					
		Novartis						<10k	
Untch, Michael	none								
Viale, Giuseppe		Dako			10-50k				
		Roche	<10k		<10k				
Watanabe, Toru		Yakult Honsha Co., Ltd.			10-50K				
		Taiho Pharmaceutical Co., Ltd.			10-50K				
Wilcken, Nicholas		Roche		yes					<10k
		GSK		yes					<10k
		Novartis		yes					<10k
		AstraZeneca		yes					<10k
		Amgen		yes					<10k
		Roche					yes		12k*
		GSK					yes		12k*
Winer, Eric		Genentech	none	no compensa	none	<50k	All travel	none	
Wood, William C.	none								