The UNC Lineberger Developmental Funding Program (the Innovation Awards) is intended to support the cancer research innovations led by faculty of the University of North Carolina at Chapel Hill and in several of our sister Universities. The objective is to initiate new directions leading to successful new applications for extramural funding. All UNC Lineberger Comprehensive Cancer Center members, and UNC Chapel Hill faculty are encouraged to apply. A separate RFA encourages applications from several UNC System schools.

Two tiers of awards will be accepted: Tier 1: Pilot Awards and Tier 2: Stimulus Awards. Within each tier, proposals are accepted in basic, clinical/translational, and population science. Additionally, targeted RFAs may be added to each funding cycle, and proposals of high merit in each tier may be considered for the targeted RFA mechanism. Study sections chaired by UNC Lineberger Associate Directors will review all Tiers of proposals.

**Tier 1: Pilot Awards** will consist of up to $50,000 for one year, with expected start dates of July 1 or January 1, depending on submission. They should have only one principal investigator. Basic and pop-sci research categories require applicant to be a faculty member of any rank, tenure-track or non-tenure-track. Applicants in the clinical/translational category ONLY may be non-faculty (clinical fellow) with faculty sponsor. See below for details.

**Tier 2: Stimulus Awards** allow for 1-2 principal investigators (PIs). The award will consist of up to $100,000 per year, for one or two years. Research proposed should be innovative and have potential for advances that can enhance our scientific understanding of cancer and/or clinical and public health practice. See below for details.

**SUBMISSION**

To be eligible for review, each applicant must complete the following:

1. **Register On-line** - All applicants must register and then submit their proposals at the UNC Lineberger Developmental Funding website. The site will be announced and opened approximately one week ahead of the deadline. Both registration and submission must be completed by 5:00 pm on the deadline date.

2. **Submit On-line** - At the end of the on-line registration, investigators must submit (upload) their entire application as a single PDF; the file name should include the PI’s last (family) name-Tier-Research Category (i.e., Smith-Tier2-Basic). The file size of the single PDF must not exceed 3MB.

   Applications missing one or more of the required components (registration, uploaded single PDF electronic copy with the PI’s name in the file name) will be considered incomplete and will not be reviewed.

   Extensions of the deadline will not be granted. Supplementary or additional materials will not be accepted after the submission deadline.

3. **Former Awardees must submit progress report** - If you have received a UNC Lineberger Developmental Award since 2014 (inclusive) you must include a progress report attached to the end of your single PDF, before your new proposal will be reviewed. Report on: Title/year of funding; team; accomplishments (details of new external grants, publications, etc.); and UNC resources utilized.

3. **Questions?** Visit [http://unclineberger.org/research/developmental-funding-opportunities](http://unclineberger.org/research/developmental-funding-opportunities) and/or email Melissa Bird, Programs administrator: melisb@email.unc.edu
The Tier 1 Award is intended to support the development of cancer research programs and to serve as a stimulus for new research initiatives aimed at obtaining sufficient preliminary data to allow new applications for extramural funding. All UNC Lineberger Comprehensive Cancer Center members and UNC Chapel Hill faculty are eligible to apply. Basic and pop-sci research categories require applicant to be a faculty member of any rank, tenure-track or non-tenure-track. Applicants in the clinical/translational category ONLY may be non-faculty (clinical fellow) with faculty sponsor.

TERMS OF THE AWARDS
Each award will consist of up to $50,000 for one year, with expected start dates of either July 1 (April submission) or January 1 (October submission). Funds should be expended within one year of receipt. Contact the program director for requests to change start date or extend the funding period (no-cost extension). A report summarizing financial expenditures and a brief summary of the scientific outcome of the project is required two months after the end of funding. When requested, all awardees will be expected to provide updates of publications and long-term grant support or other accomplishments that originated from the award.

ELIGIBILITY
All UNC Lineberger Comprehensive Cancer Center members and UNC Chapel Hill or other UNC system school faculty are eligible to apply. Non-UNC applicants must be in collaboration with a UNC faculty member unless they are submitting under a targeted RFA for non-UNC faculty applications. Non-faculty (clinical fellows) in the clinical/translational category ONLY are encouraged to apply but must obtain sponsorship or partnership with a UNC Lineberger Comprehensive Cancer Center member. The application must include a letter from the faculty member agreeing to support the project and agreeing not to submit their own proposal for the same project in the same round.

Tier 1 Awards should have only one principal investigator. Applicants can be principal investigator on only one proposal per round. Applicants can be named on other proposals (in the tiers with multiple investigators).

Faculty awardees are ineligible to apply again during the time of their funding and maybe asked to participate in the review process for up to three rounds of submissions. For awardees who are not faculty members, their faculty sponsors will be asked to participate in review.

CRITERIA
Proposals will be judged by the following criteria:
- Scientific merit;
- Relevance to award category (basic, clinical/translational, or population sciences);
- Qualifications, experience, and productivity of the applicant;
- Project feasibility given facilities, budget, time, and other resources available;
- Potential for future external funding and publications.

REVIEW
Review will be chaired by a UNC LCCC Associate Director and be composed of UNC Lineberger Comprehensive Cancer Center members, including representation from each of the nine programmatic areas. Applications will be assigned at least two reviewers (usually three), who will score applications according to the criteria above, using the NIH scale of 1-9 (best – worst).

RESTRICTIONS
A. Projects must be completed in the designated period of the grant proposal. Tier 1 grants are for one year. Clinical applicants or others with time consuming preliminary requirements may apply for change in start/end dates. No-cost extensions must be formally requested.
B. Funds may be budgeted for any of the standard categories and for purposes deemed necessary for the successful execution of the proposed project. Costs are limited to those directly related to the research proposed (overhead/indirects are not allowed). The following additional restrictions apply:

1. **Faculty salary** - Requests for salary support of tenure-track or fixed-term faculty as principal investigators or co-investigator are not allowed. Requests for non-tenure track faculty who direct labs or projects and requests for consulting biostatistics faculty support (for data analysis) can be considered; however, requests for this kind of support should be appropriate for the level of effort required. Salary, tuition, and other funds for non-faculty named on the budget are allowed in amounts appropriate for the level of effort.

2. **Travel** - Requests for travel support should be limited to funds directly related to project performance. Travel to conferences and meetings will be permitted. International travel is also permitted.

3. **Equipment** - Requests for equipment should be limited to funds directly related to project performance. For example, a laptop for a research assistant to use in clinic to collect data would be appropriate.

4. **Current or recent awardees** - The intent of this funding program is to develop novel and innovative approaches and teams to improve our understanding of cancer and its impact on our patients. Faculty who have recently obtained funding should include an explanation as to why the new award in distinct and novel. The new application will be reviewed more critically with regards to innovation.

5. **Use of recruitment or retention funds** - Applicants with current recruitment or retention packages are allowed to submit proposals. However, if chosen for funding the Center may request an adjustment to your budget in the form of cost matching from your existing Center commitment.

Unused funds will revert to the supporting funding source. All proposed expenses must conform to the general policies of the University. Projects that use the UNCLineberger Biostatistics Shared Facility, the Tissue Procurement Core, and/or other core resources are encouraged to consult with those cores prior to submission.

**REQUIREMENTS FOR Awardees**

A. Prior to funding, all awarded projects that involve animals or human subjects must be reviewed and approved in accordance with the University's general assurances and HIPAA. Reviews and approvals may be “Just in Time” and are not required for registration/application. Projects involving human subjects must be submitted to an Institutional Review Board (IRB). Intervention studies and clinical protocols must be reviewed and approved by the UNC Lineberger Protocol Review Committee. All investigators and all persons named on the budget page must have certification of training in the protection of human subjects. Appropriate animal forms must also be filed and approved.

B. A summary progress report shall be submitted two months after the end date of support. This report must be submitted earlier if the recipient of the grant is leaving the University. The summary progress report should give a financial accounting of funds used for the project, and reference all research products generated, including abstracts submitted/published, peer-reviewed articles submitted/published, grant applications submitted/funded, and potential/actual intellectual property (reports of invention, etc.).

Principal investigators will provide updates on publications, grants, and patents after the end of the funding, when asked.

Where appropriate, progress reports should identify the counties and populations involved or affected. For example, for an intervention for adults that works through African-American churches in six NC counties, the report should acknowledge the population (African Americans) and name the six counties included in the study.

C. All manuscripts, abstracts, posters, and presentations should acknowledge support from the UNC Lineberger Comprehensive Cancer Center Developmental Funds, e.g.: This project was funded [in part] by a grant from the University of North Carolina Lineberger Comprehensive Cancer Center.

D. Awards co-funded by other sources will also adhere to reporting and other requirements established by those programs. Awardees are informed of their source(s) of funding in the notice of award.
APPLICATION FORMAT (in this order)
Proposals must include in ONE PDF:

- **A Title Page** that includes: title of the proposal, the PI’s name, primary appointment, faculty rank, and contact information, the name, title and contact information of faculty sponsor, if applicable; **Tier and category** (basic, clinical/translational or population science) of the proposal; **total Budget** requested. Registering online does not automatically create this title page: please include in your PDF.
- **Scientific Abstract**: The abstract summary of the proposal for use by review committee members and UNC Lineberger Comprehensive Cancer Center (250-word maximum).
- **Lay Abstract**: Please provide a lay abstract/project narrative summarizing the research to a general audience in plain language. It should avoid the use of jargon and explain any technical terms. These lay abstracts will be used to describe the purpose of the research to the larger non-scientific community and will be reviewed by community and patient advocates as part of the review process.
- **Budget**: Use [PHS 398 Form Page 4](http://grants.nih.gov/grants/funding/phs398/fp4.docx).
- **Budget Justification**: The Budget Justification should include sufficient detail for reviewers to assess whether appropriate resources have been requested (no page limit).
- **Specific Aims**
- **Research Plan**: The application should follow the format: Significance, Innovation, and Approach. Include where applicable clear evidence of how the proposal meets the review criteria and is relevant specifically to cancer. Five-page limit, including tables and figures. Specific Aims and References do not count towards the 5-page limit; single spacing, font no smaller than Arial 11, and half-inch margins. You may include an appendix, but reviewers are not required to read beyond the 5-page limit. Letters of support may be beyond the 5-page limit. All should be in the one PDF.
- **Non-faculty applicants**: must include a letter from a UNC LCCC faculty member who agrees to support the project and agrees not to submit a proposal for the same project in the same round.
- **Proposal Timeline**
- **Human and/or Animal Subjects**: Although Institutional Review Board (IRB) or Institutional Animal Care & Use Committee (IACUC) approval is not required prior to submission, briefly describe any human and/or animal subject issues. If human subjects will be involved in the research, provide a description of their involvement and characteristics, specific risks to subjects who participate, and protection against those risks. Describe the sources of materials that will be obtained from human subjects as part of their study participation. Provide assurance that the project will be reviewed and approved by the UNC IRB and comply with HIPAA. If vertebrate animals are to be used, provide a description of the proposed use of the animals in the work outlined and procedures for ensuring that discomfort, distress, pain and injury will be limited. Projects involving animal subjects must be reviewed and approved by an IACUC (no page limit).
- **NIH Biosketches** for the key members of the research team.
- **Progress Report** (if applicable) describing accomplishments of former UNC Lineberger awards

**SUBMISSION**
See submission guidelines on page 1 of this document. More information and the link for upload (live as of one week before the due date) can be found at [http://unclineberger.org/research/developmental-funding-opportunities](http://unclineberger.org/research/developmental-funding-opportunities)
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Tier 2: STIMULUS AWARDS

APPLICATION GUIDELINES

The Tier 2 Stimulus Award is intended to support innovative research initiatives aimed at obtaining sufficient data to allow new applications for extramural funding. Tier 2 may have a larger budget and/or a longer time-frame than Tier 1, and should have some preliminary data supporting the research questions. All UNC Lineberger Comprehensive Cancer Center members and UNC Chapel Hill faculty are encouraged to apply.

TERMS OF THE AWARDS

Each award allows for 1-2 principal investigators (PIs). The award will consist of up to $100,000 per year, for one or two years, with an expected start date of July 1 or January 1. A report summarizing financial expenditures and a one-page summary of the scientific outcome of the project is required 2 months after the end of the funding period. When requested, all awardees will be expected to provide updates of publications and long-term grant support that originated from the award.

ELIGIBILITY

All UNC Lineberger Comprehensive Cancer Center members and UNC Chapel Hill faculty are encouraged to apply. Non-UNC faculty must be in collaboration with a UNC faculty member, unless they are submitting under a targeted RFA for non-UNC faculty.

Tier 2 Awards can have multiple investigators. One PI must be chosen as the Contact PI. Applicants can be principal investigator on only one proposal per round. One can be PI on one proposal and a co-investigator on one or more additional proposals.

Awardees will be ineligible to submit proposals (as PI) for two years (or four submission rounds, whichever comes first) following their award.

CRITERIA

Proposals will be judged by the following criteria:

- Scientific merit;
- Relevance to award category (basic, clinical/translational, or population sciences);
- Qualifications, experience, and productivity of the applicant;
- Project feasibility given facilities, budget, time, and other resources available;
- Potential for future external funding and publications.

REVIEW

Review will be chaired by a UNC LCCC Associate Director and be composed of UNC Lineberger Comprehensive Cancer Center members, including representation from each of the nine programmatic areas. Applications will be assigned at least two reviewers (usually three), who will score applications according to the criteria above, using the NIH scale of 1-9 (best – worst).

RESTRICTIONS

A. Projects must be completed in the designated period of the grant proposal. Grants are for one to two years, except when otherwise specified in the application and approved by the committee. No-cost extensions will be rare and must be applied for through the program director.

B. Funds may be budgeted for any of the standard categories and for purposes deemed necessary for the successful execution of the proposed project. Costs are limited to those directly related to the research proposed (overhead/indirects are not allowed). The following additional restrictions apply:

1. Faculty salary - Requests for salary support of tenure-track or fixed-term faculty as principal investigators or co-investigator are not allowed. Requests for non-tenure track faculty who direct labs or projects and requests for consulting biostatistics faculty support (for data analysis) can be
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considered; however, requests for this kind of support should be appropriate for the level of effort required. Salary, tuition, and other funds for non-faculty named on the budget are allowed in amounts appropriate for the level of effort.

2. **Travel** - Requests for travel support should be limited to funds directly related to project performance. Travel to conferences and meetings will be permitted. International travel is also permitted.

3. **Equipment** - Requests for equipment should be limited to funds directly related to project performance. For example, a laptop for a research assistant to use in clinic to collect data would be appropriate.

Unused funds will revert to the supporting funding source. All proposed expenses must conform to the general policies of the University. Projects that use the UNC Lineberger Biostatistics Core, the Tissue Procurement Core, and/or other core resources are encouraged to consult with those cores prior to submission.

**REQUIREMENTS FOR Awardees**

A. Prior to funding, all awarded projects that involve animals or human subjects must be reviewed and approved in accordance with the University's general assurances and HIPAA. Reviews and approvals may be “Just in Time” and are not required for registration/application. Projects involving human subjects must be submitted to an Institutional Review Board (IRB). Intervention studies and clinical protocols must be reviewed and approved by the UNC Lineberger Protocol Review Committee. Appropriate animal forms must also be filed and approved. All investigators and all persons named on the budget page must have certification of training in the protection of human subjects.

B. A summary progress report shall be submitted two months after the end date of support. The summary progress report should give a financial accounting of funds used for the project, and reference all research products generated, including abstracts submitted/published, peer-reviewed articles submitted/published, grant applications submitted/funded, and potential/actual intellectual property (reports of invention, etc.).

Principal investigators will provide updates on publications, grants, and patents for at least three years after the end of the funding, when asked.

Where appropriate, progress reports should identify the counties and populations involved or affected. For example, for an intervention for adults that works through African-American churches in six NC counties, the report should acknowledge the population (African Americans) and name the six counties included in the study.

C. All manuscripts, abstracts, posters, and presentations should acknowledge support from the UNC Lineberger Developmental Funding Program; e.g.: *This project was funded [in part] by a grant from the University of North Carolina Lineberger Comprehensive Cancer Center.*

D. Awards co-funded by other sources will also adhere to reporting and other requirements established by those programs. Awardees are informed of funding source(s) at the time of award notice.

**APPLICATION FORMAT (in this order)**

Proposals must include in ONE PDF:

- **A Title Page** that includes: title of the proposal; the PI’s name, primary appointment, faculty rank, and contact information; the name, title and contact information of other investigators; **Tier and category** (basic, clinical/translational or population science) of the proposal; **total Budget** requested. Registering online does not automatically create this title page: please include in your PDF.

- **Scientific Abstract**: The abstract summary of the proposal for use by review committee members and UNC Lineberger Comprehensive Cancer Center (250-word maximum).

- **Lay Abstract**: Please provide a lay abstract/project narrative summarizing the research to a general audience in plain language. It should avoid the use of jargon and explain any technical terms. These lay abstracts will be used to describe the purpose of the research to the larger non-scientific community and will be reviewed by community and patient advocates as part of the review process.

- **Budget**: Use PHS 398 Form Page 4 ([http://grants.nih.gov/grants/funding/phs398/fp4.docx](http://grants.nih.gov/grants/funding/phs398/fp4.docx)).

- **Budget Justification**: The Budget Justification should include sufficient detail for reviewers to assess whether appropriate resources have been requested (no page limit).

- **Specific Aims**

- **Research Plan**: The Research Plan should follow the standard NIH format: Significance, Innovation, and Approach. Include where applicable clear evidence of how the proposal meets the review criteria and specific cancer relevance. Five-page limit, including tables and figures. Specific Aims and
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References do not count towards the 5-page limit; single space, font no smaller than Arial 11, and .5-inch margins.

You may include an appendix, but reviewers are not required to read beyond the 5-page limit. Letters of support may be beyond the 5-page limit.

- **Proposal Timeline**
- **Human and/or Animal Subjects**: Although Institutional Review Board (IRB) or Institutional Animal Care & Use Committee (IACUC) approval is not required prior to submission, briefly describe any human and/or animal subject issues. If human subjects will be involved in the research, provide a description of their involvement and characteristics, specific risks to subjects who participate, and protection against those risks. Describe the sources of materials that will be obtained from human subjects as part of their study participation. Provide assurance that the project will be reviewed and approved by the UNC IRB and comply with HIPAA. If vertebrate animals are to be used, provide a description of the proposed use of the animals in the work outlined and procedures for ensuring that discomfort, distress, pain and injury will be limited. Projects involving animal subjects must be reviewed and approved by an IACUC (no page limit).
- **NIH Biosketches** for the key members of the research team.
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**SUBMISSION**

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